

NOTICE OF FILING

This document was lodged electronically in the FEDERAL COURT OF AUSTRALIA (FCA) on 18/06/2021 9:34:33 AM AEST and has been accepted for filing under the Court's Rules. Details of filing follow and important additional information about these are set out below.

Details of Filing

Document Lodged: Affidavit - Form 59 - Rule 29.02(1)
File Number: NSD1220/2020
File Title: AUSTRALIAN SECURITIES & INVESTMENTS COMMISSION v
MELISSA LOUISE CADDICK & ANOR
Registry: NEW SOUTH WALES REGISTRY - FEDERAL COURT OF
AUSTRALIA



Sia Lagos

Dated: 18/06/2021 9:35:29 AM AEST

Registrar

Important Information

As required by the Court's Rules, this Notice has been inserted as the first page of the document which has been accepted for electronic filing. It is now taken to be part of that document for the purposes of the proceeding in the Court and contains important information for all parties to that proceeding. It must be included in the document served on each of those parties.

The date and time of lodgment also shown above are the date and time that the document was received by the Court. Under the Court's Rules the date of filing of the document is the day it was lodged (if that is a business day for the Registry which accepts it and the document was received by 4.30 pm local time at that Registry) or otherwise the next working day for that Registry.



Form 59
Rule 29.02(1)

Affidavit

No. NSD 1220/2020

Federal Court of Australia

District Registry: NSW

Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

Affidavit of: **Suzi Stojanovski**

Address: Level 40, ANZ Tower, 161 Castlereagh Street, Sydney NSW 2000

Occupation: Solicitor

Date: 16 June 2021

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R. Hinkelbein

	Lawyers Pty Ltd dated 3 June 2021		
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I, **Suzi Stojanovski** of Level 40, ANZ Tower, 161 Castlereagh Street, Sydney NSW 2000, Solicitor, may oath and say:

1. I am a solicitor in the employ of Gillis Delaney Lawyers, the solicitors acting on behalf of Mr Bruce Gleeson and Mr Daniel Soire (**the Receivers**):
 - a. In these proceedings;



Stojanovski

- b. Pursuant to their appointments as Provisional Liquidators in Maliver Pty Ltd in accordance with Orders made by this Honourable Court on 15th December 2020;
 - c. Pursuant to their appointments as Receivers to the property of Melissa Louise Caddick in accordance with Orders made by this Honourable Court on 15th December 2020 (“**the Receivership Administration**”).
2. I swear this affidavit of service in support of the application for remuneration of the Receivers.

Service on interested parties

Investors/creditors

3. Annexed hereto and marked as annexure **SRS-1** is a copy of an email attaching a letter addressed to Bridges Lawyers dated 3 June 2021 enclosing the following documents by way of service:
- a. Form 16;
 - b. Sealed Interlocutory Application returnable on 7 April 2021;
 - c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
 - d. Affidavit of Bruce Gleeson sworn on 1 March 2021;
 - e. Affidavit of Bruce Gleeson sworn on 4 May 2021.
4. Annexed hereto and marked as annexure **SRS-2** is a copy of an email attaching a letter addressed to Dimarco Lawyers dated 3 June 2021 enclosing the following documents by way of service:
- a. Form 16;
 - b. Sealed Interlocutory Application returnable on 7 April 2021;
 - c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
 - d. Affidavit of Bruce Gleeson sworn on 1 March 2021;
 - e. Affidavit of Bruce Gleeson sworn on 4 May 2021.
5. Annexed hereto and marked as annexure **SRS-3** is a copy of an email attaching a letter addressed to SD Commercial Lawyers Pty Ltd dated 3 June 2021 enclosing the following documents by way of service:
- a. Form 16;
 - b. Sealed Interlocutory Application returnable on 7 April 2021;
 - c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;

- d. Affidavit of Bruce Gleeson sworn on 1 March 2021;
 - e. Affidavit of Bruce Gleeson sworn on 4 May 2021.
6. Annexed hereto and marked as annexure **SRS-4** is a copy of an email from Stephen D'Emilio of SD Commercial Lawyers dated 3 June 2021. Annexed hereto and marked as annexure **SRS-5** is a copy of an email from Mr D'Emilio of SD Commercial Lawyers dated 7 June 2021.

Adam Grimley

7. Annexed hereto and marked as annexure **SRS-6** is a copy of an email attaching a letter addressed to Adam Grimley dated 3 June 2021 enclosing the following documents by way of service:
- a. Form 16;
 - b. Sealed Interlocutory Application returnable on 7 April 2021;
 - c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
 - d. Affidavit of Bruce Gleeson sworn on 1 March 2021;
 - e. Affidavit of Bruce Gleeson sworn on 4 May 2021.
8. Annexed hereto and marked as annexure **SRS-7** is a copy of an email from Adam Grimley dated 7 June 2021.

Anthony Koletti

9. Annexed hereto and marked as annexure **SRS-8** is a copy of an email attaching a letter addressed to Mr Anthony Koletti dated 3 June 2021 enclosing the following documents by way of service:
- a. Form 16;
 - b. Sealed Interlocutory Application returnable on 7 April 2021;
 - c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
 - d. Affidavit of Bruce Gleeson sworn on 1 March 2021;
 - e. Affidavit of Bruce Gleeson sworn on 4 May 2021.

ASIC

10. Annexed hereto and marked as annexure **SRS-9** is a copy of an email attaching a letter addressed to Mr Stephen Johnson of the Australian Securities and Investments Commission (**ASIC**) dated 3 March 2021 enclosing the following documents by way of service:

- a. Form 16;
- b. Sealed Interlocutory Application returnable on 7 April 2021;
- c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
- d. Affidavit of Bruce Gleeson sworn on 1 March 2021.

11. Annexed hereto and marked as annexure **SRS-10** is a copy of an email attaching a letter addressed to Mr Stephen Johnson of ASIC dated 3 June 2021 enclosing the following document by way of service:

- a. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Barbara Grimley and Edward Grimley

12. Annexed hereto and marked as annexure **SRS-11** is a copy of an email attaching a letter addressed to Baker & McKenzie enclosing the following documents by way of service:

- a. Form 16;
- b. Sealed Interlocutory Application returnable on 7 April 2021;
- c. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
- d. Affidavit of Bruce Gleeson sworn on 1 March 2021.

Sworn by the deponent)
 At Sydney)
 In New South Wales)
 on 16 June 2021)
 Before me:)



 Signature of deponent



 Signature of witness

Renee Hinkelbein
 Justice of the Peace in and for NSW
 196495

And as a witness, I certify the following matters concerning the person who made this Affidavit (the "deponent"):

1. I saw the face of the deponent.
2. I have known the deponent for more than 12 months.

Identification document relied on (may be original or certified copy)

R Hinkelbein

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "**SRS-1**" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

RHinkelbein

Solicitor

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

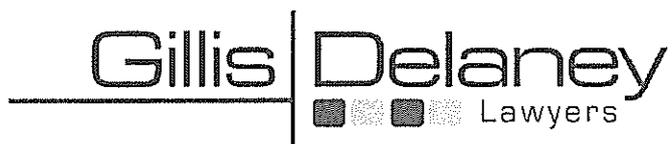
From: Michael Hayter
Sent: Thursday, 3 June 2021 9:45 AM
To: 'dcalabria@bridgeslawyers.com.au'
Cc: Bruce Gleeson; Daniel Soire
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: Letter Dominic Calabria, Bridges Lawyers 3.6.21 (S2169514xD43CF).PDF; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).PDF
Categories: Printed

Please see attached.

Donna Cudmore
Administrative Assistant



Gillis Delaney Lawyers
Level 40, 161 Castlereagh Street, Sydney, NSW 2000
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Tel: +61 2 9394 1144 | Fax: +61 2 9394 1100
drc@gdlaw.com.au | www.gdlaw.com.au



Our Ref: MKH/201237

3 June 2021

Mr Dominic Calabria
Bridges Lawyers
Level 2
50 Margaret Street
SYDNEY NSW 2000

By Email: dcalabria@bridgeslawyers.com.au

Cc: Bruce Gleeson
Daniel Soire

Level 40
ANZ Tower
161 Castlereagh Street
SYDNEY NSW 2000
AUSTRALIA
DX 179 SYDNEY

Telephone: (02) 9394 1144
Facsimile: (02) 9394 1100
www.gdlaw.com.au

Dear Mr Calabria

Re: **Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) - ASIC v Caddick - Federal Court Proceedings 1220/2020 Approval of Receivership Fees**

We refer to previous correspondence.

We confirm that you act on behalf of six investors/creditors who provided funds to Maliver Pty Ltd.

We confirm that our clients' application for approval of their remuneration as receivers has been set down for hearing in the Federal Court on 29th and 30th June 2021. As you act for a number of the investors our clients have taken the view that your firm should also be served with a copy of the application and supporting affidavits (as referred to below).

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021;
5. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise within 14 days as to whether your clients have any objection to the orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'. The signature is fluid and cursive.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133



Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-2" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

RHinkelbein

Solicitor

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Suzi Stojanovski
Sent: Thursday, 3 June 2021 12:01 PM
To: 'scd@dimarcolawyers.com.au'
Cc: Hayter, Michael (MKH@gdlaw.com.au)
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: Letter Dimarco Lawyers 3.06.21 (S2169832).pdf; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).pdf

Dear Sir

Please see attached.

Kind regards

Suzi Stojanovski
Associate



Gillis Delaney Lawyers
Level 40, 161 Castlereagh Street, Sydney, NSW 2000
Direct: +61 2 9394 1138
Tel: +61 2 9394 1144 | Fax: +61 2 9394 1100
srs@gdlaw.com.au | www.gdlaw.com.au



Our Ref: MKH/201237

3 June 2021

Level 40
ANZ Tower
161 Castlereagh Street
SYDNEY NSW 2000
AUSTRALIA
DX 179 SYDNEY

Telephone: (02) 9394 1144
Facsimile: (02) 9394 1100
www.gdlaw.com.au

Mr Sebastian Dimarco
Dimarco Lawyers
Level 7, Culwulla Chambers
67 Castlereagh Street
Sydney NSW 2000

By Email: scd@dimarcolawyers.com.au

Dear Sir

Re: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) - ASIC v Caddick - Federal Court Proceedings 1220/2020 Approval of Receivership Fees

We act for Mr Bruce Gleeson and Mr Daniel Soire, the Provisional Liquidators and Receivers of the Defendants in relation to the above mentioned proceedings.

We note that you act on behalf of investors/creditors who provided funds to Maliver Pty Ltd.

We confirm that our clients' application for approval of their remuneration as receivers has been set down for hearing in the Federal Court on 29th and 30th June 2021. As you act for a number of the investors our clients have taken the view that your firm should also be served with a copy of the application and supporting affidavits (as referred to below).

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021;
5. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise within 14 days as to whether your clients have any objection to the orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'. The signature is fluid and cursive, written over a white background.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133



Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-3" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

RHinkelbein

Solicitor

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Suzi Stojanovski
Sent: Thursday, 3 June 2021 11:55 AM
To: 'sd@sdcomlaw.com.au'
Cc: Hayter, Michael (MKH@gdlaw.com.au)
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: Letter SD Commercial Lawyers 3.06.21 (S2169770).pdf; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).pdf

Dear Sir

Please see attached.

Kind regards

Suzi Stojanovski
Associate

**Gillis Delaney Lawyers**

Level 40, 161 Castlereagh Street, Sydney, NSW 2000

Direct: +61 2 9394 1138

Tel: +61 2 9394 1144 | Fax: +61 2 9394 1100

srs@gdlaw.com.au | www.gdlaw.com.au



Our Ref: MKH/201237

3 June 2021

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161 Castlereagh Street
SYDNEY NSW 2000
AUSTRALIA
DX 179 SYDNEY

Telephone: [02] 9394 1144
Facsimile: [02] 9394 1100
www.gdlaw.com.au

Mr Stephen D'Emilio
Director
SD Commercial Lawyers Pty Ltd
Level 57, MLC Centre
19-29 Martin Place
Sydney NSW 2000

By Email: sd@sdcomlaw.com.au

Dear Sir

Re: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) - ASIC v Caddick - Federal Court Proceedings 1220/2020 Approval of Receivership Fees

We act for Mr Bruce Gleeson and Mr Daniel Soire, the Provisional Liquidators and Receivers of the Defendants in relation to the above mentioned proceedings.

We note that you act on behalf of investors/creditors who provided funds to Maliver Pty Ltd.

We confirm that our clients' application for approval of their remuneration as receivers has been set down for hearing in the Federal Court on 29th and 30th June 2021. As you act for a number of the investors our clients have taken the view that your firm should also be served with a copy of the application and supporting affidavits (as referred to below).

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021;
5. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise within 14 days as to whether your clients have any objection to the orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133



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Standards Legislation

{SRS/S2169770:1}

Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-4" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

~~Solicitor~~

R Hinkelbein

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Stephen D'Emilio <sd@sdcomlaw.com.au>
Sent: Thursday, 3 June 2021 2:37 PM
To: Suzi Stojanovski
Cc: Michael Hayter
Subject: RE: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]

Suzi

I acknowledge receipt of your email and the documents that were attached to your email.

Regards
Stephen D'Emilio
 Director
 Commercial Litigation



SD Commercial Lawyers Pty Ltd (ABN 13 616 503 363)
 Level 57
 MLC Centre, 19-29 Martin Place, Sydney, NSW, 2000
 Direct dial: 02 9269 0092
 Mobile: 0412 548 791
sd@sdcomlaw.com.au

Liability limited by a Scheme approved under Professional Standards Legislation. Solicitor directors and legal practitioners employed by SD Commercial Lawyers Pty Ltd are members of the scheme.

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From: Suzi Stojanovski <srs@gdlaw.com.au>
Sent: Thursday, 3 June 2021 11:55 AM
To: Stephen D'Emilio <sd@sdcomlaw.com.au>
Cc: Michael Hayter <mkh@gdlaw.com.au>
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]

Dear Sir

Please see attached.

Kind regards

Suzi Stojanovski
 Associate



Gillis Delaney Lawyers
 Level 40, 161 Castlereagh Street, Sydney, NSW 2000
 Direct: +61 2 9394 1138

Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-5" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:



Solicitor—

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Stephen D'Emilio <sd@sdcomlaw.com.au>
Sent: Monday, 7 June 2021 1:39 PM
To: Suzi Stojanovski
Cc: Michael Hayter
Subject: RE: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: IP remuneration apn 1.03.21 sealed (S2124061).pdf

Suzi

My clients have no objection to the orders being sought in the Interlocutory Application which is **attached**.

Regards
Stephen D'Emilio
 Director
 Commercial Litigation



SD Commercial Lawyers Pty Ltd (ABN 13 616 503 363)
 Level 57, MLC Centre, 19-29 Martin Place, Sydney, NSW, 2000
 Direct dial: 02 9269 0092
 Mobile: 0412 548 791

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From: Suzi Stojanovski <srs@gdlaw.com.au>
Sent: 03 June 2021 11:55
To: Stephen D'Emilio <sd@sdcomlaw.com.au>
Cc: Michael Hayter <mkh@gdlaw.com.au>
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]

Dear Sir

Please see attached.

Kind regards

Suzi Stojanovski
 Associate



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Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-6" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

R. Hinkelbein

Solicitor

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Michael Hayter
Sent: Thursday, 3 June 2021 9:56 AM
To: 'adamegrimley@hotmail.com'
Cc: Bruce Gleeson; Daniel Soire
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: Letter Adam Grimley 3.6.21 (S2169553xD43CF).PDF; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).PDF
Categories: Printed

Please see attached.

Donna Cudmore
Administrative Assistant



Gillis Delaney Lawyers
Level 40, 161 Castlereagh Street, Sydney, NSW 2000
Direct: +61 2 9394 1131
Tel: +61 2 9394 1144 | Fax: +61 2 9394 1100
drc@gdlaw.com.au | www.gdlaw.com.au



Our Ref: MKH/201237

3 June 2021

Mr Adam Grimley

Level 40
ANZ Tower
161 Castlereagh Street
SYDNEY NSW 2000
AUSTRALIA
DX 179 SYDNEY

Telephone: (02) 9394 1144
Facsimile: (02) 9394 1100
www.gdlaw.com.au

By email: adamegrimley@hotmail.com

Dear Mr Grimley

**Re: ASIC v Caddick - Federal Court Proceedings 1220/2020
Approval of Receivership Fees**

We refer to previous correspondence.

We note that our clients' application for approval of part of their remuneration as receivers has been set down for hearing before the Court on 29th and 30th June 2021. Our clients have taken the view that as you are listed an interested party in the proceedings the application and supporting affidavits should be served upon you. Could you advise within the next 14 days whether you take objection to the Orders sought in the attached interlocutory application referred to below.

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021;
5. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise within 14 days as to whether you have any objection to the orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'. The signature is written in a cursive style with a long horizontal stroke at the end.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133



Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-7" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:



~~Solicitor~~

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Suzi Stojanovski
Sent: Tuesday, 8 June 2021 8:48 AM
To: Suzi Stojanovski
Subject: FW: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]

Suzi Stojanovski
 Associate



Gillis Delaney Lawyers
 Level 40, 161 Castlereagh Street, Sydney, NSW 2000
 Direct: +61 2 9394 1138
 Tel: +61 2 9394 1144 | Fax: +61 2 9394 1100
 srs@gdlaw.com.au | www.gdlaw.com.au

From: adam grimley <adamegrimley@hotmail.com>
Sent: Monday, 7 June 2021 10:11 AM
To: Michael Hayter <mkh@gdlaw.com.au>
Cc: Bruce Gleeson <bgleeson@jonespartners.net.au>; Daniel Soire <dsoire@jonespartners.net.au>
Subject: Re: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]

Dear Mr Hayter,

I do not have any objections to the orders sought in the Interlocutory Application.

Thank you

Adam

From: Michael Hayter <mkh@gdlaw.com.au>
Sent: Thursday, June 3, 2021 09:55 AM
To: adamegrimley@hotmail.com <adamegrimley@hotmail.com>
Cc: Bruce Gleeson <bgleeson@jonespartners.net.au>; Daniel Soire <dsoire@jonespartners.net.au>
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]

Please see attached.

Donna Cudmore
 Administrative Assistant



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 Tel: +61 2 9394 1144 | Fax: +61 2 9394 1100
 drc@gdlaw.com.au | www.gdlaw.com.au

Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "SRS-8" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

R. Hinkelbein

Solicitor—

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Michael Hayter
Sent: Thursday, 3 June 2021 9:53 AM
To: 'anthonykoletti@hotmail.com'
Cc: Bruce Gleeson; Daniel Soire
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: Letter Anthony Koletti 3.6.21- Caddick (S2169537xD43CF).PDF; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).PDF

Please see attached.

Donna Cudmore
Administrative Assistant



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Our Ref: MKH/201237

3 June 2021

Mr Anthony Koletti

By email: anthonykoletti@hotmail.com

Dear Mr Koletti

**Re: ASIC v Caddick - Federal Court Proceedings 1220/2020
Approval of Receivership Fees**

We refer to previous correspondence.

We note that you are an interested party in the above proceedings.

We also note that our clients' application for approval of part of their remuneration as receivers has been set down for hearing before the Court on 29th and 30th June 2021. Our clients have taken the view that as you are listed an interested party in the proceedings the application and supporting affidavits should be served upon you.

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021;
5. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise within 14 days as to whether you have any objection to the orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133

Encl

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{SRS/S2124100:1}

Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "**SRS-9**" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

R Hinkelbein

~~Solicitor~~

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Suzi Stojanovski
Sent: Wednesday, 3 March 2021 2:44 PM
To: 'Stephen Johnson'
Cc: Nicolette Bearup; Isabella Allen; Hayter, Michael (MKH@gdlaw.com.au)
Subject: ASIC v Caddick [GDL-1.201237.JONPAR]
Attachments: Letter to ASIC serve sealed IP 3.3.21 (S2124078).pdf; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf

Categories: Printed

Dear Stephen

Please see attached.

Kind Regards

Suzi Stojanovski
Associate



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Our Ref: MKH/201237

3 March 2021

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AUSTRALIA
DX 179 SYDNEY

Telephone: (02) 9394 1144
Facsimile: (02) 9394 1100
www.gdlaw.com.au

Mr Stephen Johnson
Australian Securities and Investments Commission

By email: stephen.johnson@asic.gov.au
nicolette.bearup@asic.gov.au
Isabella.Allen@asic.gov.au

Dear Stephen

Re: **ASIC v Caddick**
Federal Court Proceedings NSD. 1220/2020

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021.

Please advise as to whether you have any objection to the Orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133

Suzi Stojanovski

From: Michael Hayter
Sent: Thursday, 3 June 2021 9:34 AM
To: 'stephen.johnson@asic.gov.au'; 'nicollette.bearup@asic.gov.au';
'isabella.allen@asic.gov.au'
Cc: Bruce Gleeson; Daniel Soire
Subject: ASIC v Caddick - Approval of Receivership Fees [GDL-1.201237.JONPAR]
Attachments: Letter to ASIC 3-6-21 (S2169502xD43CF).PDF; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).PDF

Categories: Printed

Please see attached.

Donna Cudmore
Administrative Assistant



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Our Ref: MKH/201237

3 June 2021

Mr Stephen Johnson
Australian Securities and Investments Commission

By email: stephen.johnson@asic.gov.au
nicolette.bearup@asic.gov.au
Isabella.Allen@asic.gov.au
 Cc: Bruce Gleeson, Daniel Soire

Level 40
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Telephone: (02) 9394 1144
Facsimile: (02) 9394 1100
www.gdlaw.com.au

Dear Stephen

Re: ASIC v Caddick - Federal Court Proceedings NSD. 1220/2020
Approval of Receivership Fees

We refer to our letter dated 3 March 2021 and enclose by way of service Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise as to whether you have any objection to the Orders sought in the Interlocutory Application.

Yours faithfully
GILLIS DELANEY LAWYERS

A handwritten signature in black ink that reads 'Michael Hayter'.

Michael Hayter
Partner
Email: mkh@gdlaw.com.au
Direct Line: +61 2 9394 1133



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Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and MALIVER PTY LTD (ACN 164 334 918)

Defendants

This is the annexure marked "**SRS-11**" referred to in the affidavit of Suzi Stojanovski sworn on June 2021

Before me:

R Hinkelbein

Solicitor

Renee Hinkelbein
Justice of the Peace in and for NSW
196495

Suzi Stojanovski

From: Suzi Stojanovski
Sent: Wednesday, 9 June 2021 1:59 PM
To: 'kate.gillingham@bakermckenzie.com'
Cc: Hayter, Michael (MKH@gdlaw.com.au)
Subject: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) [GDL-1.201237.JONPAR]
Attachments: Letter Baker & McKenzie 9.06.21 (S2174219).pdf; FORM 16 (S2124121).pdf; IP remuneration apn 1.03.21 sealed (S2124061).pdf; Affidavit MKH 1.3.21 remuneration apn sealed (S2124062).pdf; Affidavit of Bruce Gleeson 1.03.21 sealed (S2124064).pdf; Affidavit of Bruce Gleeson 4.5.21 (S2157714xD43CF).pdf

Dear Colleagues

Please see attached.

Kind regards

Suzi Stojanovski
Associate



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Our Ref: MKH/201237

9 June 2021

Telephone: [02] 9394 1144
Facsimile: [02] 9394 1100
www.gdlaw.com.au

Ms Kate Gillingham
Partner
Baker & McKenzie
DX 218 Sydney

By Email: kate.gillingham@bakermckenzie.com

Dear Madam

Re: Melissa Caddick (Receivers Appointed) & Maliver Pty Ltd (Provisional Liquidators Appointed) - ASIC v Caddick - Federal Court Proceedings 1220/2020 Approval of Receivership Fees

We refer to recent correspondence.

Our clients' application for approval of their remuneration as receivers has been set down for hearing in the Federal Court on 29th and 30th June 2021.

We enclose by way of service:

1. Form 16;
2. Sealed Interlocutory Application returnable on 7 April 2021;
3. Affidavit of Michael Kevin Hayter sworn on 1 March 2021;
4. Affidavit of Bruce Gleeson sworn on 1 March 2021;
5. Affidavit of Bruce Gleeson sworn on 4 May 2021.

Please advise within 14 days as to whether your clients have any objection to the orders sought in the Interlocutory Application.

Yours faithfully

GILLIS DELANEY LAWYERS



Michael Hayter

Partner

Email: mkh@gdlaw.com.au

Direct Line: +61 2 9394 1133