

## NOTICE OF FILING

This document was lodged electronically in the FEDERAL COURT OF AUSTRALIA (FCA) on 27/05/2021 5:05:21 PM AEST and has been accepted for filing under the Court's Rules. Details of filing follow and important additional information about these are set out below.

### Details of Filing

Document Lodged: Affidavit - Form 59 - Rule 29.02(1)  
File Number: NSD1220/2020  
File Title: AUSTRALIAN SECURITIES & INVESTMENTS COMMISSION v  
MELISSA LOUISE CADDICK & ANOR  
Registry: NEW SOUTH WALES REGISTRY - FEDERAL COURT OF  
AUSTRALIA



*Sia Lagos*

Dated: 27/05/2021 5:05:46 PM AEST

Registrar

### Important Information

As required by the Court's Rules, this Notice has been inserted as the first page of the document which has been accepted for electronic filing. It is now taken to be part of that document for the purposes of the proceeding in the Court and contains important information for all parties to that proceeding. It must be included in the document served on each of those parties.

The date and time of lodgment also shown above are the date and time that the document was received by the Court. Under the Court's Rules the date of filing of the document is the day it was lodged (if that is a business day for the Registry which accepts it and the document was received by 4.30 pm local time at that Registry) or otherwise the next working day for that Registry.



Form 59  
Rule 29.02(1)

## Affidavit

No. 1220 of 2020

Federal Court of Australia  
District Registry: NSW  
Division: Commercial and Corporations

**IN THE MATTER OF MALIVER PTY LTD (ACN 164 334 918)**

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**

Plaintiff

**MELISSA LOUISE CADDICK and others named in the Schedule**

Defendants

Affidavit of: **Isabella Allen**  
Address: Level 5, 100 Market Street, Sydney, New South Wales  
Occupation: Investigator  
Date: 27 May 2021

I, Isabella Lucy Allen, Investigator of Level 5, 100 Market Street, Sydney, New South Wales  
2000 affirm:

1. The purpose of this affidavit is to correct an error regarding the document identification numbers in respect of documents I refer to at paragraphs 28 and 66 of the affidavit that I affirmed in these proceedings on 18 May 2021 (**Earlier Affidavit**).
2. At paragraph 28 of my Earlier Affidavit, I referred to an Excel spreadsheet that I had prepared which summarised the Identified Investor documents (**Investor Spreadsheet**). I described this document as "MAL.0003.0029.0002", a copy of which was exhibited at Tab 316 of Exhibit IA-28. This was an error. The document "MAL.0003.0029.0002" at Tab 316 of Exhibit IA-28 is a spreadsheet listing valuations of Canturi jewellery (**Canturi**

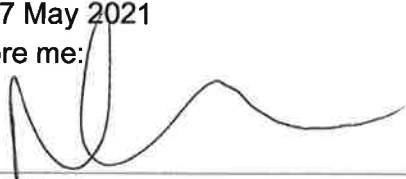
Filed on behalf of (name & role of party)	Australian Securities and Investments Commission (Plaintiff)		
Prepared by (name of person/lawyer)	Nicolette Bearup		
Law firm (if applicable)			
Tel	(02) 9911 2000	Tel	(02) 9911 2000
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[Version 3 form approved 02/05/2019]

**Valuations**). The Investor Spreadsheet is **MAL.0003.0029.0001**, a copy of which I exhibited to my Earlier Affidavit at Tab 315 of Exhibit IA-28.

3. At paragraph 66 of my Earlier Affidavit, I referred to the Canturi Valuations. I described this document as "MAL.0003.0029.0001", a copy of which was exhibited at Tab 315 of Exhibit IA-28. This was an error. As outlined above, the document "MAL.0003.0029.0001" is the Investor Spreadsheet. The Canturi Valuations is **MAL.0003.0029.0002**, a copy of which I exhibited to my Earlier Affidavit at Tab 316.

Affirmed by the deponent  
at Sydney  
in New South Wales  
on 27 May 2021  
Before me:



Signature of witness  
Nicki Gunn

An Australian Legal Practitioner within the meaning of the Legal Profession Uniform Law (NSW)

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Signature of deponent