



Form 59
Rule 29.02(1)

Affidavit

Federal Court of Australia
District Registry: Victoria
Division: Commercial and Corporations Division

No. of 2025

IN THE MATTER OF SUNSTONE METALS LTD ACN 123 184 412

SUNSTONE METALS LTD ACN 123 184 412

Applicant

Affidavit of: **MALCOLM STEWART NORRIS**
Address: 80 Sawpit Gully Road, Mount Franklin, VIC 3461
Occupation: Non-Executive Chair and Company Director
Date: 18 May 2025

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I, MALCOLM STEWART NORRIS of 80 Sawpit Gully Road, Mount Franklin, VIC 3461, Non-Executive Chair and director, affirm:


.....
Malcolm Stewart Norris


.....
Hugo Vincent Oates
Solicitor
Witness

Filed on behalf of (name & role of party) Sunstone Metals Limited ACN 123 184 412/Applicant
Prepared by (name of person/lawyer) Scott David Guthrie
Law firm (if applicable) Thomson Geer Lawyers
Tel +61 7 3338 7516 Fax +61 7 3338 7599
Email sguthrie@tglaw.com.au
Address for service Level 23, Rialto South Tower, 525 Collins Street, Melbourne VIC 3000
(include state and postcode)

1. I am the Non-Executive Chair and a director of the applicant, Sunstone Metals Ltd ACN 123 184 412 (**Sunstone**). I have been a director of Sunstone since 1 April 2014 and from that time until 11 September 2024, I was the Managing Director and CEO of Sunstone. Hence, I was Managing Director and CEO of Sunstone through the relevant period.
2. I was responsible for hiring Mr Gavin Leicht, the former Company Secretary of Sunstone, who was appointed to and held that role between 28 April 2015 and 30 June 2024.
3. In that role, Mr Leicht was responsible (as Mr Lucas Welsh is now as the present Company Secretary) for ensuring that Sunstone complied with its disclosure obligations under the *Corporations Act 2001* (Cth) (**Corporations Act**) and under the ASX listing rules. He (like Mr Welsh is now) was also responsible for ensuring compliance with reporting to ASIC and the lodgement of financial and other regulatory documents mandated by law.
4. During his tenure, I do not recall Mr Leicht ever raising with me or the Board a concern or issue or point of uncertainty in relation to Sunstone's disclosure obligations or any failure of Sunstone to comply with its statutory or regulatory obligations. I recall his reporting indicating, and my understanding being, that at all times proper compliance was being achieved, and I recall cleansing notices being issued along with multiple placements and other share issues to properly meet Sunstone's disclosure obligations. Sunstone makes frequent announcements and disclosures to market. I had no reason to believe that cleansing notices were not being issued on all occasions when they were required.
5. I have, of course, now been made aware by Mr Welsh of certain shortcomings he has discovered in relation to compliance by Sunstone with its disclosure obligations. I fully support and endorse the steps now being taken to ask the Court to regularise any potential irregularity in relation to recent and historical share issues by Sunstone. I also fully support and endorse the new disclosure compliance protocols and checklists Mr Welsh will be developing, as well as the retainer of Thomson Geer Lawyers as an extra layer of oversight of each share issue for the next 12 months, so that we can ensure all aspects of the disclosure and other requirements for compliance are properly understood and can ensure the effective implementation of the new procedures.




 Malcolm Stewart Norris



 Hugo Vincent Oar
 Solicitor
 Witness

Affirmed by the deponent
at Wamboin
in New South Wales
on 18 May 2025
Before me:

)
)
)
)
)


Signature of deponent


Signature of witness
Solicitor

Hugo Vincent Oates
Australian Legal Practitioner

Thomson Geer Lawyers
Level 28, One Eagle – Waterfront Place
1 Eagle Street
BRISBANE QLD 4000

This document was signed in counterpart and witnessed over audio visual link in accordance with section 14G of the *Electronic Transactions Act 2000* (NSW).

.....
Malcolm Stewart Norris

.....
Witness

Filed on behalf of (name & role of party)	Sunstone Metals Limited ACN 123 184 412/Applicant		
Prepared by (name of person/lawyer)	Scott David Guthrie		
Law firm (if applicable)	Thomson Geer Lawyers		
Tel	+61 7 3338 7516	Fax	+61 7 3338 7599
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Address for service (include state and postcode)	Level 23, Rialto South Tower, 525 Collins Street, Melbourne VIC 3000		

[Version 3 form approved 02/05/2019]

NOTICE OF FILING

Details of Filing

Document Lodged:	Affidavit - Form 59 - Rule 29.02(1)
Court of Filing	FEDERAL COURT OF AUSTRALIA (FCA)
Date of Lodgment:	19/05/2025 11:10:50 AM AEST
Date Accepted for Filing:	19/05/2025 12:19:38 PM AEST
File Number:	VID624/2025
File Title:	IN THE MATTER OF SUNSTONE METALS LTD ACN 123 184 412
Registry:	VICTORIA REGISTRY - FEDERAL COURT OF AUSTRALIA



A handwritten signature in blue ink that reads "Sia Lagos".

Registrar

Important Information

This Notice has been inserted as the first page of the document which has been accepted for electronic filing. It is now taken to be part of that document for the purposes of the proceeding in the Court and contains important information for all parties to that proceeding. It must be included in the document served on each of those parties.

The date of the filing of the document is determined pursuant to the Court's Rules.