Ben Roberts-Smith v Fairfax Media Publications Pty Limited & Ors (NSD 1485/2018)

Ben Roberts-Smith v The Age Company Pty Limited & Ors (NSD 1486/2018)

Ben Roberts-Smith v The Federal Capital Press of Australia & Ors (NSD 1487/2018)

Respondents' bundle of documents regarding Person 1

Tab	Document	Date
1.	Exercise/Training/Activity Report	3-13 April 2006
2.	Exercise/Training/Activity Report	17-22 May 2006
3.	Exercise/Training/Activity Report	28 May-4 June 2006
4.	Performance appraisal – Person 1 by Matt Locke	June 2006
5.	Performance appraisal of Person 1 by Person 36	June 2006
6.	Performance appraisal of Person 1 by Person 33	1 July 2006
7.	Performance appraisal – Person 1 by Person 37	2 July 2006
8.	Record of Conversation	2 July 2006
9.	Operational Report – Person 1	7 July 2006
10.	Tologii I Dy I Cisoli Si	August 2006
11.	Togarding Forson 15	2008
	performance from February-March 2008 (unsigned)	
12.	- Ipplated Report	3 September 2009
13.	The internation of the internati	12 October 2011
14.		9 October 2012
15.	Soldier Performance Appraisal Report	19 October 2013
16.	Statement provided Person 1 to RSM	Undated

SAS Sqn EXERCISE / TRAINING /ACTIVITY REPORT

NAME: Person 1
ACTIVITY: Op Slipper bld up trg Bindoon

DATE:

03rd to 13th April

PAR ATTRIBUTE						Comment
BASIC SERVICE SKILLS	U	A	В	C	D	
ORAL COMMUNICATION	U	A	В	C	D	
PRODUCTIVITY	U	A	В	C	Ď	Works hard
APPLY KNOWLEDGE/SKILLS	U	A	В	C	D	Needs to build knowledge base
ORGANISATIONAL ABILITY	U	A	В	C	D	The state of the s
PROBLEM SOLVING	U	A	В	C	D	
SERVICE ETHOS	U	A	В	$\overline{\mathbf{C}}$	Ď	
CAPACITY FOR WORK	U	A	В	C	D	
RESOURCEFULNESS	U	A	В	C	D	
INTERPERSONAL SKILLS	U	A	В	$\overline{\mathbf{c}}$	D	Communicates clearly
TEAMWORK	U	A	В	C	D	- Commission Colonia
Optional for NCO's						
TRAINING OF OTHERS	U	A	В	C	D	N/A
APPRAISAL OF SUBORDINATES	U	A	В	C	D	N/A
WRITTEN COMMUNICATION	U	A	В	C	D	N/A
LEADERSHIP	U	A	В	C	D	N/A
Scale: U - Not Observed A - Disappointing Prog	gress B-	Steady/Sat	isfactory P	rogress C-	- Impressiv	ve Progress D – Maintained Very High Standards

OTHER ATTRIBUTES	Unsat	Satisfactory	Good	V. Good	Excellent	Comment
FIELDCRAFT			North Harrier, or carpet	YES		Commen
FITNESS				YES		
INTEGRITY				YES		
SELF DEVELOPMENT			YES			Push for Cse's and build qualifications base
COMMANDERS INTENT			YES			Ask if in doubt

Ptl Comd comments:

This activity included a back to basics in field craft up to and including contact drills at team level and the theory and firing of heavy weapons in an atypical Op Slipper scenario.

P1 performed well during this activity. His experience is limited, not his fault, but his enthusiasm makes up for this. I was happy to see that during this activity that any points brought to his attention were quickly grasped. P1 is on a steep learning curve at this time with Op Slipper II round the corner.

P1 is a capable soldier with a good aptitude to learn quickly.

Tp Comd comments:

Sign:	
Name:	Person 61
TP CO	

Sign: Name: TM COMD

Sign: Name: **CPL**



3 SAS Sqn EXERCISE / TRAINING /ACTIVITY REPORT

NAME: Person 1
ACTIVITY: Op Cairns

DATE:

17th to 22nd May 06

PAR ATTRIBUTE						Comment
BASIC SERVICE SKILLS	U	A	В	C	D	
ORAL COMMUNICATION	U	A	В	C	D	
PRODUCTIVITY	U	A	В	C	D	Works hard when supervised.
APPLY KNOWLEDGE/SKILLS	U	A	В	C	D	Needs to gain experience
ORGANISATIONAL ABILITY	U	A	В	С	D	3
PROBLEM SOLVING	U	A	В	C	D	Needs to try and find own solutions
SERVICE ETHOS	U	A	В	C	D	
CAPACITY FOR WORK	U	A	В	C	D	Shows ability
RESOURCEFULNESS	U	A	В	C	D	
INTERPERSONAL SKILLS	U	A	В	C	D	More active involvement required
TEAMWORK	U	A	В	C	D	Needs to actively participate
Optional for NCO's						
TRAINING OF OTHERS	U	A	В	C	D	N/A
APPRAISAL OF SUBORDINATES	U	A	В	C	D	N/A
WRITTEN COMMUNICATION	U	A	В	C	D	N/A
LEADERSHIP	U	A	В	C	D	N/A
Scale: II - Not Observed A Disappointing Progress P Ctook/California						

OTHER ATTRIBUTES	Unsat	Satisfactory		V. Good		© Progress D – Maintained Very High Standards Comment
FIELDCRAFT		X			12-25-51-12-12-12-12-12-12-12-12-12-12-12-12-12	Will improve with experience
FITNESS			X		* *************************************	
INTEGRITY			X			
SELF DEVELOPMENT		X				Needs to actively seek advice and extra training.
COMMANDERS INTENT	X					Needs to act immediately if tasked by the PC or 2IC without hesitation.

Ptl Comd comments:

Strengths:

- 1. Person 1 has a good understanding of basic service skills
- 2. He has the ability to work hard and produce the desired outcome if supervised.
- 3. He shows he has the ability to handle a high workload.

Weaknesses:

- 1. Person 1 is lacking in experience and knowledge and does not always apply the base skills expected of a 353 operator.
- 2. Person 1 does not actively seek solutions for problems he needs to react to these situations rather than waiting for direction or orders.
- 3. Person I needs to understand professional criticism is not a personal attack and advice or orders given by commanders is for the benefit of the Ptl/Tp and preservation of the force element and his own preservation.

Tp Comd comments:

You stand to learn a great deal during this deployment. Concentrate on the basics, and be pro-active with problem solving.

Gain an understanding of how the ptl fits into the tp environment and the security it affords your vehicle.

Trust your commanders and use them to assist with your development.

Sign:	Sign:	Sign:
Name:	Name:	Name:
TP COMD	TM COMD	



3 SAS Sqn EXERCISE / TRAINING /ACTIVITY REPORT

NAME: Person 1

DATE:

28th May to 4th June

ACTIVITY: Op Slipper

PAR ATTRIBUTE				Maria Sana		Comment
BASIC SERVICE SKILLS	U	A	В	C	D	
ORAL COMMUNICATION	U	A	В	C	D	
PRODUCTIVITY	U	A	В	C	D	Needs direction
APPLY KNOWLEDGE/SKILLS	U	A	В	C	D	
ORGANISATIONAL ABILITY	U	A	В	C	D	
PROBLEM SOLVING	U	A	В	С	D	
SERVICE ETHOS	U	A	В	C	D	
CAPACITY FOR WORK	U	A	В	C	D	
RESOURCEFULNESS	U	A	В	C	D	Actively seek work needed to be done
INTERPERSONAL SKILLS	U	A	В	C	D	Naturally quiet, lacks personal confidence
TEAMWORK	U	A	В	C	D	
Optional for NCO's						
TRAINING OF OTHERS	U	A	В	C	D	N/A
APPRAISAL OF SUBORDINATES	U	A	В	C	D	N/A
WRITTEN COMMUNICATION	U	A	В	C	D	N/A
LEADERSHIP	U	A	В	C	D	N/A

Scale: U - Not Observed A - Disappointing Progress B - Steady/Satisfactory Progress C - Impressive Progress D - Maintained Very High Standards Unsat Satisfactory Good OTHER ATTRIBUTES V. Good Excellent YES FIELDCRAFT FITNESS YES INTEGRITY YES SELF DEVELOPMENT YES COMMANDERS INTENT YES Ask if in doubt

Ptl Comd comments:

C/S conducted an OP looking over a target. The insertion was difficult and the going hard. The OP was compromised by spotters and a fire fight ensued. P1 was tasked with rear protection with . On seeing an ACM spotter who was already injured by fire, but still posed a threat presented failed to engage, asking me to do so first, the indecision could have been fatal one for the patrol. He was tasked to suppress the enemy, however the weapon failed to fire due to a lack of lubrication, he did not have any oil in his kit, essential for a LMG. Shortly afterwards the patrol remained on 50% stand to before commencing an extraction. He woke to the noise of another patrol member moving shouting "friendly, friendly", he had woken from a state of deep sleep, but his state of mind was not on the task in hand. He had to be calmed down and controlled until he had gathered his senses again.

preserved already knows that he is on the back foot in regards to his previously limited experience as a reservist, and the learning curve is steep and at a frantic pace. The significance of what appear to be trivial mistakes could have had fatal consequences. Preshould understand that as an SF soldier you will be expected to make decisions on your own on the situation that you are presented with, especially when working in small groups, everyone is multitasked, and all in the patrol are confident in the knowledge that each is doing there part correctly, including covering each others back. I understand that this was his first contact, and at close quarters, and without a doubt a very difficult situation, we all felt the same as it could have gone either way, and the thought processes associated with that.

has to understand the importance of his role and the attention to detail that is required from an SF soldier. Mistakes do get made, but when they occur regularly, a pattern forms, and the reliability factor decreases.

has been included in the next patrol, he will be reported on again at the completion of the next ptl.

Cont next page.

Tp Comd comments:

Ack above comments. p1 you need to take these points on board and work hard to improve. At this stage I'm taking your PC's advice about your inclusion on the next patrol. You need to make a significant improvement as I'm very concerned about your employability within tp. You have a wealth of experience around you to draw on, so be pro-active in your development and seek to remedy the issues highlighted above.

Sign:

Person 37
TP COMD

Sign:

Person 33 TM COMD Sign:

P1

Background

- 1. I have been both the Ptl 2IC and Patrol Commander for Person 1 for approximately three months. This period includes the preparation, deployment and initial phase of Operation Slipper II. During this period there have been a number of concerns with Person 1. He is a young soldier with very little experience in the military. Initially it was assessed that with the right guidance he could be capable of operating in the current environment. After deployment to the current theatre of operations, I have identified this assessment as incorrect due to the following reasons:
 - a. Person 1 has made numerous mistakes and does not appear to have the correct mindset to operate as a SAS Tpr; during the past month he has showed a lack of confidence maturity and experience to achieve his tasks to an acceptable level.
 - b. Person 1 has failed to meet the required standard of a soldier at numerous times during the conduct of patrol preparation he does not complete assigned tasks without constant supervision. He does not have a proactive approach and will not seek out guidance if unsure of his requirements.

Conduct

- 2. During the conduct of the first patrol he was employed as the vehicle driver in the second vehicle in the patrol. Whilst the troop was in an overwatch position, his vehicle was tasked to provide rear and flank security for the patrol as part of the Troop. The lead vehicle was situated approximately 250m from the fringe of a town with three patrol members dismounted observing into a potentially hostile area. Whilst moving into this position he stated to the vehicle commander he felt vulnerable in this position, as he did not understand the larger picture and disposition of the Troop. At this point he decided he was going to move the vehicle and leave the forward vehicle exposed to the flank and rear. At this point the MK 19 gunner had to order him to hold in the position as directed or the other patrol vehicle would have been unsupported.
- 3. Later in this patrol we located as the right forward element of the Troop in an overwatch position on another potentially hostile area. On order from the Troop Commander, our patrol was to push forward on the right flank to gauge the reaction of the suspect position. At this point the 2IC ordered to set range and go to the action condition on his 84mm. Person 1 was hesitant and initially did not go to action; he was subsequently ordered to do this three times before he finally carried out this task. Person 1 was counselled about his performance after the first patrol. Although at some stages he appeared to be improving and becoming more comfortable with the environment, he still did not have an understanding of the bigger picture in relation to the security provided whilst operating in a Troop environment.
- 4. The second patrol conducted was a dismounted OP task. Person 1 was employed to carry the F89 and be the Patrol medic in a six man patrol. After insertion during the conduct of the task Person 1 was in OP with Person 2 when a ACM approached our position approximately 100m to the north of the patrol. The OP let the ACM pass our position into dead ground approximately 160m to our right flank in ground where he could position himself to have effective fire on our position. This ACM was subsequently dealt with by other members of the Patrol. At this point I told Person 1 that he needed to react to these situations

due to the environment we are operating in and the enemy action we had encountered the preceding days.

- 5. Later that day as Person 1 and Person 2 were providing rear security to the OP/LUP, they heard voices to the east of our location. The patrol was alerted and other members of the patrol moved to his location to support their position. The ACM was engaged approximately 140m to the east of the Patrol location. Approximately 30 seconds after this engagement the patrol started to receive fire form the north and north east. The patrol stood to and suppressed the enemy. Person 1 was still in position providing rear security during the contact he said he could see the ACM which was engaged our location to the east moving around our position. He was ordered to engage the ACM with F89, which he should have done automatically. On attempting to engage the ACM his weapon had multiple stoppages due to poor weapon preparation. He was told to oil his gun as it was dry, (the reason for stoppages) and he informed us he did not have any oil which rendered his weapon system ineffective for the patrol during that incident.
- 6. Further, during this incident Person 1 was asked to give a target indication to the enemy position. Unable to, he was told to fire short burst and reference the target from his fall of shot he was unable to do this due to a stoppage. Another patrol member then fired to achieve a similar target identification method, he then indicated by saying "over behind that rock". The situation calmed as night fell and he was put at 50% to allow for some rest. As another Patrol member approached his position from inside the perimeter he stood up and started shouting "friendly, friendly" and waving his weapon around.
- 7. On extraction from this patrol and back at FSB Davis, the patrol conducted a range practice to confirm zero of patrol weapons. Prior to moving to the range Person 1 was tasked to take out a liner of F1 ball and a liner of link. On arrival at the range the patrol commenced a range practice and Person 1 commenced firing his F89. When I asked what range he was firing at he said he didn't know. I stated we were confirming our zero and was wasting his time if he was just indiscriminately firing into the range. After acquiring a target he fired for a short period and stopped, asking if that was enough. I asked how many round he had fired he said 30-40 rnds, I also inquired to the whereabouts of the liner of link he was tasked to bring. He said he didn't get told to bring it to the range he was subsequently sent back to get the liner of link.

Conclusion

8. Due to the above reasons, I assess **Person 1** as unsuitable for service within SASR as a 353 operator. He should be immediately removed from the patrol and TF 637.1 to minimise the risk himself and his patrol members, who are relying on him to carry out the appropriate actions in a high tempo operation under considerable enemy threat.

M.R. Locke SGT PC

June 06

Background

1. As a patrol member and understudy of the 2IC, CPL Locke, during the build up
training for Op Slipper II, I was able to make observations on Person I 's performance and
attitude in the lead up to the deployment. It was evident from an early stage that his individual
skills were not to the level expected of an SASR patrol member and his attitude reflected a
priority towards personal admin unrelated to the operation in preference to preparing himself
for deployment.

2. I was required to depart Australia early in order to conduct overseas training and was
unable to conduct the last phase of build up training in Australia. When I arrived in country I
was allocated the position of gunner in and from that position I was able to witness
Person 1 s reluctance and hesitation in situations that called for him to perform above normal
duty.

Conduct

	I was seconded to for a short period but returned as the patrol 2IC for the next
patro	during OP TINDAL. Whilst on this patrol I observed several incidences that highligh
Perso	n le's unsuitability for special operations patrolling:

- a. The patrol was pushed into a position of overwatch E of the village of Khorma, when several ACM were observed moving into position carrying RPG's and small arms at range to engage our vehicle. As the vehicle commander I decided to utilise MAG58, .50 cal MGs and 84mm MRAAW to initiate. Person I was slow to prepare the 84mm and his fire was ineffective. Several hours after this engagement, the ACM in that vicinity engaged with rockets. At that time Person I had been preparing his lunch, and whilst the rockets were in the air, the PC assessed the requirement for the vehicles to be moved. Reluctant to drop his noodles Person I had to be ordered three times before discarding his lunch and taking his position in the drivers seat.
- b. Subsequent icom traffic indicated more rockets were to be launched. Shortly after we were ordered to withdraw, and as pulled away, Person 1 tried to withdraw immediately behind it, which rendered the vehicle unable to support the break contact manoeuvre the patrol was performing. He had to be ordered to maintain the position to offer fire support and allow a tactical separation between vehicles.
- c. Later that night we were to conduct a night MUP to replace a broken vehicle. As we approached the MUP area we observed a TIC to our SE. Tracer fire was clearly visible and occasional ricochet would splash within 1000m of our location. We were ordered to move into a position of overwatch on high ground to our front. A cross country move was required for us to move into the allocated location. Person 1 moved at a speed that would indicate a level of perceived anxiety in a situation that remained benign at this stage.
- d. After being in a Tp harbour for a 24 hour period I was made aware that Person I had been observed on piquet by the PC for a period of no less than 15 minutes in which he failed to observe out using the result or his personal NVG's. His attention was

focussed on a group of rocks at his feet. The piquet's were approximately 45 minutes duration and his actions display a lack of self discipline and dereliction of responsibility.

- e. Several days later the Tp was required to move into a position of fire support for a clearance being conducted by another c/s. As the last veh in the Tp move was responsible for suppressing ACM IVO the tgt area. A spotter was identified as the convoy moved into the Tp SBF location. As we moved into range the lead ptl ve (moved into position to suppress the spotter and Person was told to move to the flank to provide support. He immediately moved to the right of the lead veh which placed the two cars of the patrol to our front directly into our line of fire. As a result our car was unable to provide any support to or to the vehicles manoeuvring within the Tp, whilst the left hand flank was unobstructed and offered good mobility and fire positions.
- f. As the Tp arrived at the SBF location, Person 1 was advised to prepare the 84mm MRAAW as the edge of the treeline was within 200m and an ACM engagement seemed imminent. Person 1 was observed to cock the 84mm and apply safe without moving from his seat. Shortly after the vehicles to our left began to receive RPG and SAF, the MAG58 and 50.cal were both immediately utilised as fire support to the target area, these weapons were having limited effect and 84mm air burst was being utilized by the Tp vehicles under fire. had a good attack angle to assist the engagement and I observed Person 1 still sitting in the driver seat. I then moved around the car to find that 84mm rounds had not been prepared for engagement. I proceeded to remove the 84mm and load a round when I became aware that the dust covers had not been removed from the ends of the weapon. I was able to engage the target area and prepare for reload before Person 1 showed any sign of attempting to assist suppress the enemy.
- g. On several occasion Person 1 needed complete supervision in engaging enemy locations during the fire fight. This constant supervision degraded my ability as a 2IC/JTAC whilst in contact.
- h. was then responsible to secure the resupply HLZ which removed us from the fire fight to the rear of the Tp SBF. From this position however we were able provide suppressing fire to allow another c/s to withdraw whilst under fire. Person 1 was ordered to move the car into a position where both weapon systems could be utilised. He then moved the car into a position that exposed the whole flank of the car and required the MAG58 to be fired across the driver's seat which was untenable. This resulted in only being able to cover the withdrawal with one weapon system.
- i. In order to assist the withdrawing c/s break contact, 84mm was utilised by supporting c/s. Despite being Person I s only fire spt weapon on the vehicle, it was employed by another member of the patrol. Person I again displayed a lack of knowledge of his weapon and its capabilities.

Conclusion

4. Person 1 has demonstrated very little capacity for unsupervised work and achieves only minimum standard when tasked with a duty. It is my belief that his poor basic skills and awareness will lead to other patrol members being put at risk.

5. It is these key incidences that demonstrate Person 1 's lack of basic patrol skills and unsuitability to small team operations as an SASR 353 operator. On numerous occasions his performance in the field has been at an unacceptable level.

Recommendation

6. It is my recommendation that he be removed from operations.

Person 36

2IC

Jun 06



Background:

- As appointed Patrol Commander for Op Slipper II, my observations on Person 's performance include the build up training in Australia until the time of writing. It was apparent from the early stages in the build up training that Person 1 was clearly lacking in prior experience, having only served as a reservist and one year as a full time soldier which included a tour to East Timor.
- His background was of some concern, however, he had passed selection, therefore it was assessed that he should have the aptitude to grasp the necessary skills and the knowledge required before departing on Op Slipper II. I accepted the fact it would be a steep learning curve for Person 1, but it also was a similar situation to several other newcomers to the squadron. His performance at this stage was lacking, however he was counselled accordingly.
- 3. With a further period of a continuation build up phase in Afghanistan prior to commencing operational patrolling, any highlighted weaknesses were to be ironed out. Due to unforseen circumstances I was three weeks late, missing this final in-country training, arriving three days before the first operational patrol. During this in-country training and a nursery SA patrol his report did not highlight any deficiencies for this period. However, now almost at the half way point of the tour, some crucial deficiencies have been recorded which has led to Person 1 's position of unsuitability for service in an operational environment.

Conduct:

- Person 1 's performance is assessed as being below the required standard of an SASR operator. Examples of which are recorded below:
 - Bindoon foot contact drills range, Person 1 had to be directed on more than one occasion to what he should have done, showing a lack of initiative.
 - Bindoon heavy weapons range practice which included firing 66mm rockets. h Person 1 had a misfire, his IA drill was incorrect, he pulled the 66 off his shoulder looked at the rear of the weapon. These consequences could have been fatal to himself and myself as his safety supervisor.
 - Lancelin live fire vehicle range. Person 1 was unable to comprehend vehicle tactics in regard to movement and positioning, or follow Cpl Locke's directions in how to do so.
 - In Afghanistan. Person 1 was tasked with rear protection in an Observation Post, when the enemy was contacted. He was ordered to open fire, but due to incorrect weapon preparation (no oil) he had multiple stoppages on his Minimi before being able to engage the enemy. This could have proved fatal to Person 1 and the rest of the patrol.
 - Whilst conducting a piquet in a troop LUP Person 1 was observed by myself as not using his night vision equipment (NVG's,) for up to 15mins to observe his arcs.

This was after the most recent sitrep that stated the enemy were actively seeking CF to attack.

- f. There were several incidents of incorrect LRPV positioning not allowing both weapon systems to be utilised, which therefore required them to be moved again, showing a lack of experience.
- g. As patrol commander I don't believe Person 1 has a true understanding of the meaning of 'commander's intent'. He was unable to assist in achieving the commander's intent on a number of occasions without prompting or supervision. This certainly distracted three different 2ICs that were employed at various times in this patrol from their duties, and was reflected in the feed back to myself.

Conclusion

- 5. The above deficiencies are also highlighted in field reports and statements from two of his vehicle commanders (2ICs). Person 1 was identified as requiring extra direction in several areas; this was impressed on Person 1 who acknowledged his downfalls in these areas.
- 6. It is of extreme concern that a pattern of behaviour has been established. These errors should not be occurring from any member of TF 637.1 in this high intensity operational environment.
- 7. Person 1 should have spent some time in an ARA infantry unit prior to selection to SASR, and/or at least 12 months in a green roles squadron immediately after selection to build his knowledge base and experience.

Recommendation

- 8. It is my recommendation that he be removed from operations with immediate effect for the safety of himself and others.
- 9. It is my recommendation that he continues to build his knowledge base in a non operational environment to the required standard of an SASR operator.



1th Jul 2006

PERFORMANCE APPRAISAL -	Person 1
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Introduction

Background

- 2. Person 1 has been a member of Troop since the troop was formed within 3 Sqn for the build up and subsequent deployment on OP SLIPPER II. Person 1 has been a member of Troop while on recovery role for 2005 and Jan-Mar 2006; having completed his reinforcement cycle during 2004 and AS course in 2005. Prior to his tenure at SASR Person 1 had spent which included one tour in East Timor.
- 3. Person 1 has received generally satisfactory performance reports while on team. The change to green role build up training for OP SLIPPER II posed quite a challenge for Person 1 and he was identified to be struggling to grasp the vehicle mounted (VM) skills taught during the build up training period at Lancelin. At the time it was assessed that with time and exposure to VM operations in Afghanistan he would meet the required standard of training and conduct for an SASR operator.
 - 4. Person 1 's reporting has not improved and there have been several occasions where his performance has fallen well short of the standard expected of a SASR trooper. Person 1 has been counselled on numerous occasions regarding his performance and although his intentions are to address the deficiencies identified, it is assessed that there has no real improvement has been made and his suitability for further employment on operations is doubtful.

Tactical appreciation and commander's intent

- 6. While Person 1 has a general understanding of driver's responsibilities, he struggles to put theory into practice. I believe that some of these VM tactical issues could be rectified through the VM course conducted for VM operators. The build up training and deployment is Person 1 's first exposure to LRPVs and VM TTPs.

Ability to perform under pressure

- 7. Person 1 is capable of conducting routine tasks of which he has been trained. Several occasions whilst under extreme pressure, his performance has been unsatisfactory. An example of this was during a dismounted OP task. During contact with the enemy Person 1 's Minimi failed to fire due to incorrect service before firing, which rendered the weapon and himself combat ineffective for the duration of the contact. Person 1 was unable to give accurate target identification to patrol members during this engagement. A more detailed account of Person 1 's performance is outlined in a statement from Sgt Locke, dated 05 Jun 06.
- 8. The above examples indicate a lack of adequate battle preparation, and an inability to perform under pressure. He is capable of carrying out routine tasks, and willingly applies himself to such tasks. The concern lies with his ability to acquit himself during high intensity periods such as enemy engagements. He has demonstrated indecision and uncertainty during each occasion of enemy engagements. This is further highlighted in a statement from Person 36 (30 Jun 06) which details Person 1 's inability to correctly employ his 84mm MRAAW during contact, and a break contact drill on 23 Jun 06.

Ability to work without supervision

- 9. Person 1 has demonstrated on a number of occasions that he requires regular supervision during battle preparation, on patrol and during high intensity periods. The ability to act independently is a core SASR skill, and requiring supervision from another patrol member, or commander degrades the combat effectiveness of the patrol. This was evident during the OP contact previously mentioned, and also during contact on 23 Jun 06.

 Person 36 while commanding the vehicle, was also utilising ISR. Having to also micromanage Person 1 degraded the vehicles combat power, patrol manoeuvre and troop ISR ability.
 - 10. Person 1 lacks the knowledge and skills required and attitude to act independently. This can be primarily related to his relative inexperience in the ARA, and lack of green roles training prior to deployment. Person 1 's confidence has taken a blow from the operational reporting history, and as a result he has been hesitant to take affirmative action independently.

Mental attitude and aptitude

- 11. As stated above Person 1 's confidence has been low as a result of his operational reporting history and the knowledge and skill deficiencies identified. Person 1 is not an aggressive person by nature, and this is reflected in his confidence level and the level of vigour he approaches his tasks with. Person 1 appears to cope with routine tasks, however is well out of his depth during high intensity periods. I don't believe he has the capacity to function effectively outside of his comfort zone.
- 12. Person 1 does not currently display the mental toughness required for this operational deployment.

SASR core skills

13. Although Person 1 obviously possesses the skills knowledge and attitude necessary to successfully complete the reinforcement cycle and a year on team, he has not made a successful transition to VM operations. This indicates a lack of trainability, adaptability and mental aptitude in the short timeframe allocated to conduct build up and deployment on OP

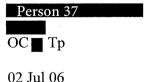
- SLIPPER II. His basic soldier skills are generally satisfactory, however there have been several major lapses in weapon proficiency with both his personal weapon (F89 Minimi) and fire support weapon (84mm MRAAW).
- 14. Person 1 has demonstrated a desire to address the deficiencies identified, and has been actively seeking remedial training and advice to improve his core skills. To date an improvement is yet to be identified.

Conclusion

- 15. It has been established that Person 1 has performed to a satisfactory standard during routine tasks. During high intensity periods Person 1 's performance has been unsatisfactory and could have potentially resulted in injury to himself or others. This has been recorded at the conclusion on each patrol, and specific incidents have been recorded by the NCO involved at the time of incident.
- 16. Person 1 constantly displays the desire to rectify the identified deficiencies, and remedial training has been provided at patrol and troop level. An improvement by Person 1 has not been identified to date, and it is assessed that Person 1 is not at the required standard for service with TF 637.1.
- 17. It appears the major contributing factor to Person 1 's circumstances is a lack of general service experience both within SASR and the ARA. For the majority of SASR troopers, a lot of these issues could be rectified with some and general commonsense and know how, which is usually developed over a period of service within the military. Due to Person 1 's short service period in the ARA, he does not possess such knowledge, which in turn inhibits his ability to apply new skills associated with VM operations and conduct himself appropriately in a high intensity environment.

Recommendation

- 18. It is recommended that:
 - a. Person 1 be placed on an OCs warning for unsatisfactory performance of duty,
 - b. Person 1 be removed from OP SLIPPER II with immediate effect, and
 - c. That he continues to build his knowledge base in a non-operational environment to the required standard of an SASR operator.



Enclosures:

- Performance appraisal by Person 33 01 Jul 06 Performance appraisal by SGT Locke 05 Jun 06 1.
- 2.
- 3.
- 4.
- Performance appraisal by Person 36 30 Jun 06
 Field report OP CAIRNS 17-22 May 06 (original signed)
 Field report OP SLIPPER 28 May 04 Jun 06 (original signed) 5.
- Field report OP TINDAL 06-13 Jun 06 (original signed) 6.
- Field report OP TINDAL 16-23 Jun 06 (original signed) 7.

OC 97 Revised Apr 2004 Department of Defence			Reference	Reference		
R	ecord of Co	nversation				
Interviewing officer	Designation	Date of in	erview Time	Page		
	OC SOTG ROTI	III 2 Jul 200	14:50	1 of 1		
Personal interview	Subject		Persons p	CAPTURE OF THE CAPTUR		
	OC's Warning		Person 37			
Telephone conversation			Person 61			
Conversation with (including title	or rank) Department, unit o	or firm (including address)	Telephone	number		
Person 1	SOTG ROT III					
A: Tp Commander's conso Due to reference A I had ca OC: Why have you had pro Person 1: I don't know I I patrol, I know this is not ar OC: Have you been trained Person 1: Yes, except the OC: Is there any issues at I Person 1: No. OC: Person 1 due to you Warning that requires you remove you from your patr required. If you are remove Do you understand. Person 1: Yes OC: Your TpHQ will provid questions and be proactive not an excuse you are bein opportunity to improve you	blems and not performed ave had some personal nexcuse but it has effected adequately up to this state. Who aspects which I state to improve your performance being belief to improve your performance and returned you to A and returned you to A and returned assists in self improvement in a growed to a for your	1; an outline of the intended to the required standlity problems and clash ted my work. tage to achieve your district learning in Lancel s. ow the required standanance as a patrol membanance and solutions are turned to Australia your knowledge and solutions.	erview is as follows ard so far on this d es with certain men rected tasks. in. rd, I am putting you er. If you fail to imp y take place from t u may face adminis ake the opportunity	eployment. nbers of my on an OC's prove I intend to he field if strative action.		
Distribution		Signature of interview	ving officer			

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■ SAS Sqn OPERATIONAL REPORT

NAME: Person 1

ACTIVITY: OPERATION

ACHVIII.	OFERA	TION				
PAR ATTRIBUTE						Comments
BASIC SERVICE SKILLS	U	A	В	C	D	Has satisfactory basic skills for current position
ORAL COMMUNICATION	U	A	В	C	D	Quietly spoken – needs to be more assertive
PRODUCTIVITY	U	A	В	C	D	Worked to the required level without lapses
APPLY KNOWLEDGE/SKILLS	U	A	В	C	D	Applied nec work skills; some suprvision required
ORGANISATIONAL ABILITY	U	A	В	C	D	Organisation of work was acceptable
PROBLEM SOLVING	U	A	В	C	D	Generated workable solutions to problems
SERVICE ETHOS	U	A	В	C	D	Showed a conscientious regard for Army ethos
CAPACITY FOR WORK	U	A	В	C	D	Worked hard to assigned tasks & duties
RESOURCEFULNESS	U	A	В	$\overline{\mathbf{C}}$	D	Resourcefulness of an acceptable standard
INTERPERSONAL SKILLS	U	A	В	\mathbf{C}	D	Is more at ease in the new Ptl environment
TEAMWORK	U	A	В	C	D	Positive contribution to the team; no clashes
Optional for NCO's						
TRAINING OF OTHERS	U	A	В	C	D	N/A
APPRAISAL OF SUBORDINATES	U	A	В	C	D	N/A
WRITTEN COMMUNICATION	U	A	В	C	D	N/A
LEADERSHIP	U	A	В	C	D	N/A

 LEGEND: U – Not Observed. A – Disappointing Progress. B – Steady/Satisfactory Progress. C – Impressive Progress. D – Maintained Very High Standards.

 OTHER ATTRIBUTES
 Usat
 Sat.
 Good
 V.G.
 Excel.
 Comments

 FIELDCRAFT
 √
 Needs more exposure/experience to be at the level of his peers

 FITNESS
 √
 Is combat fit

 INTEGRITY
 √
 Honest & diligent

 SELF DEVELOPMENT
 √
 Seeks self improvement, asks basic questions & for direction

 COMD INTENT
 √
 Attempts to align himself with PC's and higher intent

Ptl Comd comments:

2.

Person 1 performed the duties of driver / Ptl sig / Ptl member during this Op ptl to 1.

I have identified the following weaknesses and / or things to work on:

- a. Person 1 requires more exposure and experience to the full spectrum of his current trade skills and more time in his current position to be truly effective as an SAS operator. Ideally this would best be achieved by all reinforcements spending "year one" consolidating skills & TTP's before spending "year two" as a member of the contingency squadron, followed by CT / SRO duties. Person 1 has spent more time 'on team' that should be expected of him for his time in SASR.
- b. He continues to learn from the exposure he gains over the course of this deployment. Unfortunately for him the dangerous, high-tempo, spec ops-on-active-service environment that we are in is *not* contusive for learning as it puts other team members at risk if competency is in question.
 - c. Person 1 still lacks some assertiveness and confidence and is sometimes too apologetic in his manner. I have identified the following strengths and things that he brings to the table:
- a. Person 1 is hard working and gets along with all members of . He has fitted in well into the Ptl considering the personality clashes he has had with other members of the Tp to date.
- b. He maintained a positive attitude despite the beating his confidence has suffered due to previous reporting and recent OC's warning. His assertiveness and confidence has improved since arriving in
- c. He has made good progress as a mobility driver. Considering he has done only the most basic of SASR reinforcement and pre-deployment training in Mobility operations I see that he will continue to improve with more exposure, experience and the direction he gains from senior members of the Troop.
- d. During both day and night (CTR) foot patrols in close vicinity of known ACM positions Person 1 conducted himself in the manner expected of him as an SAS ptl member.

Overall, a satisfactory performance during this short duration ptl.

Tp Comd comments:

I'm glad you managed to hold it together after some close scrutiny over the past six weeks. The last patrol to was a good opportunity to confirm and refine lessons taught to date in an environment you're more comfortable in. At this stage P21 has assessed you as satisfactory which means you're heading in the right direction. This next phase will be a significantly more intense - ensure your urban combat drills and understanding of TTPs are sound. You remain on an OCs warning, and will continue to

attract close scrutiny over the next phase. Your dedication is noted and I hope you continue to progress in your green roles development.

Sign:

Person 37
TP COMD

Sign:

Person 21 PTL COMD Sign:

Person 1
PTL MBR

PERFORMANCE APPRAISAL - Person 1 - AUG 06

Reference:

- A. PERFORMANCE APPRAISAL Person 1 dated 02 Jul 06
- B. PERFORMANCE APPRAISAL Person 1 dated 06 Aug 06

Background

1. Person 1 deployed as part of B3K, on Op SLIPPER II (rotation III). During the first few months of the deployment, Person 1 was identified as not performing to the required standard of an ECN 353 operator. He was placed on an OC warning on 02 Jul 06, with a requirement to address the deficiencies identified through the course of previous field reports and counseling sessions. He was transferred to B3K1 for reassessment by Person 21 the VM SME within B3K.

July Assessment

2. During his employment within B3K1, Person 1 performed to a satisfactory standard throughout a difficult and demanding operational period. Of note Person 1 has displayed a positive attitude towards his remedial training, and has strived to meet the performance criteria outlined by THQ and OC 637.1.

August Assessment

3. In Aug 06, B3K conducted a deep reconnaissance mission, during which Person 1 was employed as a long range patrol vehicle driver of B3K11. He has developed into a good driver who seldom requires direction from his vehicle commander. He approached all tasks with a positive attitude executed them to a satisfactory standard. Person 1 has been assessed as making the necessary improvements in his performance to be removed from the OC's warning.

Future Guidance

- 4. Person 1 must consolidate his skills and knowledge gained during his deployment on Op SLIPPER II. He must diligently continue to improve in areas identified as his weakness, being: tactical appreciation and commander's intent, ability to perform under pressure, mental attitude and aptitude, and SASR core skills.
- 5. Person 1 has expressed a desire to change insertion skills, with a view of future employment within a VM troop. It would be beneficial for Person 1 to remain within 3 Sqn to ensure an appropriate remedial training program is implemented to address the areas identified for further improvement.

Conclusion

5. It is assessed that Person 1 has met the required standard of an ECN 353 operator during the past two months. Person 1 has displayed a positive attitude towards his remedial training, and has strived to meet the performance criteria outlined by THQ and OC 637.1.

Recommendation

- 6. It is recommended that:
 - a. Person 1 is removed from his OC's warning WEF RTA from Op SLIPPER II.
 - b. Person 1 remains in 3 Sqn to ensure correct implementation of remedial training and performance reporting.
 - c. Consideration is given for Person 1 to conduct insertion skill cross training to VM operations, with a view to change employment streams.

Person 37 CAPT OC K TP TF 637.1

04 Sep 06

TG 633.8 OSE TPR.

Person 1 performed the duties of an OSE team member as part of OPERATION SLIPPER II between 01 Feb 08 and 30 Mar 08.
performance as a member of OSE during OPERATION SLIPPER II has been good. He consistently displays good initiative and common sense. He demonstrated sound decisions making skills and good judgement in a high threat environment. Person 1 could be relied upon to organise and complete tasks with minimal supervision and maintained a very good level of productivity throughout the deployment.
He displayed good interpersonal skills and teamwork, enabling the OSE Detachment to function effectively. Person 1 application of job knowledge and basic service skills was good . He demonstrated an appropriate understanding of the tactical and administrative methods employed by the OSE. He made appropriate contributions to the tactical planning and conduct of OSE missions. His oral communication skills were of a good standard.
Person 1 displayed an appropriate understanding of the OSE's Strategic intent during OPERATION SLIPPER II. He maintained mission focus for the duration of his deployment and his conduct was suitable to the sensitive nature of OSE operations.
Person 1 is deemed suitable for employment in further unconventional operations.
His overall performance as part of OSE during OP SLIPPER II was good.
attitude whilst working with the Team has been positive and he is a confident individual. His teamwork has been effective and he is an active individual in the effort that he puts into tasks. An example of this being the effort that he placed into ensuring that the det operational IT equipment was always workable. His skills in this area have been used on numerous occasions when mission essential gear has malfunctioned.
Person 1 is able to perform tasks expected of him with minimal supervision.
He has developed knowledge of the environment, skills and TTP's of OSE whilst being employed with the Kabul Det.
Person 1 exerts some influence on the Det and gets on well with other members. also displays an ample endurance, this being evident in the process that he applied in overcoming the original difficulties in getting around the Kabul environment.
Person 1 is a reliable member of the Det and has a good standard of personal organisation.
His fitness is of a very high standard.
PATROL COMD SIGNATURE:DATE:
SOLDIER'S SIGNATURE: DATE: Person 1

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AC 833-22 Revised Sep 2005

Department of Defence

Employee ID	
Date	
3 Sep 2009	11:24

Soldier Performance Appraisal Report

Performance Appraisal is a vital component of the Career Management System. Its purpose is to provide feedback to individuals, identify strengths and weaknesses and provide constructive guidance for further development. As such the data from the appraisal will be used to develop career plans and identify potential for promotion, postings and courses as well as to support administrative action resulting from unsatisfactory performance. Depersonalised aggregated data may also be used for research activities.

Report type	A - Annual	S - Special	A

Part 1A - Personal and job details

This section MUST be checked by the person to be assessed.
 If not completed accurately, this appraisal will not provide the required results for the member who is to be assessed.

Employee ID		Worn rank	Substantive rank		Date of senior	ity
The state of the s		TPR	TPR		2 J	ul 2004
Family name		[nit	ials Employme	ent category, o	corps or speciali	sation
	Person 1		Pers		RAINF	
Unit or ship		Period start date	Period end date	Duty type	Res days Da	te of mid-term review
	SASR	2 Aug 2008	1 Aug 2009			30 Jun 2009

Part 1B - Nature of duties

Person 1 deployed on Operation Slipper 11 as part of Operational Support Element (Kabul Detachment. His duties included the following:	(OSE)
1. Maintain SAS operator skills at the highest possible standard. 2. Provide security as a member of the OSE as directed. 3. Responsible for detachment's medical well being. 4. Responsible for establishment of support facilities. 5. Responsible for technical support. 6. Fulfil the role as primary driver 7. Responsible for ILO security. 8. Responsible for movements within country. 9. Other tasks as directed by his chain of command.	

Guidance for assessors

- 1. You must be the assessed member's designated assessor, at least SGT(E), and normally at least one rank higher.
- 2. For detailed guidance on policy and procedure, consult Defence Instructions (General) Personnel 10-6.
- 3. You must have been the member's designated assessor for at least four months during the reporting period.
- 4. Narrative comment is to be confined to the spaces provided. Additional pages will not be accepted.
- 5. Assessment. Place a tick in the box beside the performance description that best describes the member's performance.
- 6. Part 2F. Make an assessment for each of the performance aspects under Suitability for future employment.
- 7. Limit your appraisal to the member's work performance during the reporting period.
- 8. Do not attempt to compare the assessed member's performance with that of any other person,

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Part 2A - Assessments for members with subordinates

RAINING OF OTHERS	APPRAISING THE PERFORMAN OF SUBORDINATES	VCE	
rranged or conducted relevant training of others in ompetent and timely manner.	As a designated supervisor, appro of subordinates in a competent ar including the annual confidential r	nd timely manner,	nce
Training of others was flawless. Although it could nave been done differently, it could not have been done better.	Appraisal of subordinates was of an entire high standard. Difficult to fault.	exceptionally	
Arranged or provided relevant, comprehensive, imely and effective training of others.	Provided timely, accurate, compreher effective appraisal and development subordinates.		
Arranged or provided effective training to others. No lapses observed.	Provided timely appraisal and develo guidance to subordinates.	pment	
Arranged or provided effective training to others.	Appraised subordinates when require	ed by the situation.	
Fraining of others was of an acceptable standard. apses did not have serious consequences.	Appraisal of subordinates was of an a standard.	acceptable	
raining of others did not reach an acceptable standard.	Did not provide timely appraisal and of guidance to subordinates.	development	Ĺ
Fraining of others was not observed or was not elevant.	Appraisal of subordinates was not ob the reporting period.	served during	V
	t .		
	Part 2B - For CPL and belo	w	
	BASIC SERVICE SKILLS		
	Applying general Service or environ	nment military skill	ls.
	Application of basic Service knowledge		
	was of the highest possible standard. fault.	ge and skills Difficult to	
Intentionally blank	was of the highest possible standard.	Difficult to e knowledge and ent employment.	
Intentionally blank	was of the highest possible standard. fault. Observed standard of general Service skills exceeded requirements of curre	e knowledge and ent employment. In standards.	
Intentionally blank	was of the highest possible standard. fault. Observed standard of general Service skills exceeded requirements of curre Capably applied them to achieve high Had all the necessary Service knowle current employment. Applied them to standards that sometimes exceeded to the contract of th	e knowledge and ent employment. In standards. edge and skills for achieve requirements of	
Intentionally blank	was of the highest possible standard. fault. Observed standard of general Service skills exceeded requirements of curre Capably applied them to achieve high Had all the necessary Service knowle current employment. Applied them to standards that sometimes exceeded the current job. Had all necessary military knowledge	e knowledge and ent employment. In standards. edge and skills for achieve requirements of and skills for and skills for	

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Part 2C - Assessments for all members

. mile i identification ide dil illollinolo			
ORAL COMMUNICATION	1	PRO⊚U©€IVITY	
Oral presentation of facts, ideas, arguments and instructions and orders.	·	Using assigned resources to achieve the required wo outcomes.	ork
Oral communication was of an exceptionally high standard.		Productivity was consistently at an exceptionally high standard.	
A fluent speaker. Spoke clearly, persuasively and convincingly.	V.	Produced the required work outcomes with excellent economy of effort and resources. No lapses were observed.	V
Oral communication was very effective. No lapses observed.		Produced the required work outcomes with reasonable economy of effort and resources, on time and without any lapses.	
Oral communication was effective, with only minor lapses observed.		Produced the required work outcomes with reasonable economy of effort and resources, on time and with only minor lapses.	
Oral communication was at the minimum acceptable standard for current employment.		Productivity was of an acceptable standard.	
Oral communication was not effective for current employment. Did not speak with sufficient clarity or logical structure.		Despite supervision, the member often failed to meet deadlines and/or did not produce the desired work outcomes and/or generally made excessive use of resources.	
APPLICATION OF JOB KNOWLEDGE AND SKILLS		ORGANISATION OF WORK	
AND SKILLS Applying specific job knowledge and skills to the performance of specialist work tasks.		Planning tasks, assigning priorities, and allocating tasks.	
Application of job knowledge and skills was of an exceptionally high standard. Difficult to fault. Observed level of job knowledge and skills		Organisation of work was exceptionally good. Although the work could have been organised differently, it could not have produced better outcomes.	
exceeded requirements of current employment. Applied knowledge and skills to achieve very high standards.	V	Planned and arranged resources and information in a logical and systematic way to produce optimal work outcomes.	V
Had all necessary job knowledge and skills. Standard of work exceeded requirements. Little or no supervision required.		Arranged resources and information effectively to produce very effective work outcomes.	
Had all necessary job knowledge and skills. Applied job knowledge and skills to achieve the required standard with less than normal supervision.		Arranged resources and information effectively to produce the desired work outcomes.	
Application of job knowledge and skills was of an acceptable standard. Required normal supervision.		Organisation of work was of an acceptable standard.	
Standard of work tasks performed indicated inadequate knowledge and skill levels for current position.		Inadequate planning and/or arranging of resources and information.	

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Part 2C - Assessments for all members (Continued)

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PROBLEM SOLVING	1	ARMY ETHOS	
Generation of viable solutions to problems within scope of authority.		Behaving in a way that brings credit to the Army.	
Problem solving was exceptionally good. Although other solutions may have been available, they would not have been more appropriate or more effective.		An exceptional member of the ADF, whose regard for the values, traditions and practices of the Army could not be faulted.	
Produced optimal and comprehensive solutions to problems.	abla	A role model who showed a strong and balanced regard for the values, traditions and practices of the Army.	V
Produced comprehensive, workable solutions to problems,		Member showed a strong regard for the values, traditions and practices of the Army.	
Generated workable solutions to problems.		Member whose knowledge, appearance and behaviour indicated a conscientious regard for the values, traditions and practices of the Army.	
Problem solving was of an acceptable standard.		Disciplined member who behaved ethically and conformed to the traditions and practices of the Army.	
Did not generate realistic solutions or was devoid of solutions.		Member who showed disregard for the values, traditions and practices of the Army.	
CAPACITY FOR WORK		RESOURCEFULNESS	
Responding positively to increasing and possibly excessive workloads.		Assuming the responsibilities associated with employment including the ability to take action witho direction within scope of competence and authority.	ut
Member accepted significant additional tasking and still had considerable spare capacity.		Resourcefulness was of an exceptionally high standard. Difficult to fault.	V
Member coped easily with all assigned duties and tasks and still had capacity for significant additional tasking.		An energetic self-starter. Acted promptly and independently within competence and authority.	
Member coped with all assigned duties and tasks and had some capacity for additional tasking.		A self-starter who acted independently within competence and authority.	
Member coped with all assigned duties and tasks, but had very little spare capacity to accept additional tasking.		Acted independently within competence and authority.	
Member generally coped with all assigned duties and tasks, but was working to full capacity.		Displayed resourcefulness of an acceptable standard.	
Member generally had difficulty coping with the normal set of tasks for their current position.		Had to be reminded regularly of work responsibilities, particularly in regard to using initiative within the scope of competence and authority.	

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Part 2C - Assessments for all members (Continued)

INTERPERSONAL SKILLS		TEAM WORK			
Forming effective and harmonious working relations at all levels.	hips	Working effectively as part of a team.			
Interpersonal skills were of the highest possible standard. Difficult to fault.		Team work was of exceptionally high standard, regardless of difficult or demanding circumstances or challenging tasks.			
Readily established and fostered very effective, harmonious working relationships with others.	\checkmark	An enthusiastic and constructive team player who provided strong support to the team and team leader (where appropriate).	V		
Readily established harmonious working relationships with others.		A strong team player whose contribution was highly regarded.			
Co-operated well with others at work.		A team player who fostered cooperation within the team and made a positive contribution to the team's output.			
Interpersonal skills were of an acceptable standard.		Team work was of an acceptable standard.			
Work relationships were characterised by tensions and/or strained cooperation and/or isolation from work colleagues.		Ineffective team member. Had difficulty fitting into a team environment and/or was not readily accepted by other members of the work team.			
Part 2D - Assessments for CDI and sho	vo only				
Part 2D - Assessments for CPL and abov	ve only	LEADERSHIP			
	ve only	LEADERSHIP Leading others to achieve a productive, efficient, sk motivated and cohesive workforce.	illed,		
WRITTEN COMMUNICATION Written presentation of facts, ideas, arguments,	ve only	Leading others to achieve a productive, efficient, sk	illed,		
WRITTEN COMMUNICATION Written presentation of facts, ideas, arguments, instructions or orders. Written communication was of an exceptionally	e only	Leading others to achieve a productive, efficient, sk motivated and cohesive workforce. Leadership was impeccable, even in highly	illed,		
WRITTEN COMMUNICATION Written presentation of facts, ideas, arguments, instructions or orders. Written communication was of an exceptionally high standard. Difficult to fault. Written work was clear, concise, persuasive,	re only	Leading others to achieve a productive, efficient, sk motivated and cohesive workforce. Leadership was impeccable, even in highly complex and demanding circumstances. Leadership achieved results of an excellent	iilled,		
WRITTEN COMMUNICATION Written presentation of facts, ideas, arguments, instructions or orders. Written communication was of an exceptionally high standard. Difficult to fault. Written work was clear, concise, persuasive, convincing and easy to read. Written communication was very effective. Presented cases and arguments competently. No lapses	ve only	Leading others to achieve a productive, efficient, ski motivated and cohesive workforce. Leadership was impeccable, even in highly complex and demanding circumstances. Leadership achieved results of an excellent standard. Leadership achieved results of a very	illed,		
WRITTEN COMMUNICATION Written presentation of facts, ideas, arguments, instructions or orders. Written communication was of an exceptionally high standard. Difficult to fault. Written work was clear, concise, persuasive, convincing and easy to read. Written communication was very effective. Presented cases and arguments competently. No lapses observed.	re only	Leading others to achieve a productive, efficient, ski motivated and cohesive workforce. Leadership was impeccable, even in highly complex and demanding circumstances. Leadership achieved results of an excellent standard. Leadership achieved results of a very good standard.	iilled,		

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Part 2E - Assessment of performance in current job

Despite being a member of E Tp, this reporting period saw Person 1 attached to the SAS Operational Support Element (OSE) for preparation prior and deployment on Op SLIPPER II. Throughout his tenure, he has demonstrated competence in his role and has proven himself to be a quality SAS operator. Person 1 deployed as a Kabul detachment member from the 06 Jan 09 to 09 Jul 09. During this period, Person I was tasked with myriad roles and responsibilities including; fulfilling the position of primary medic and arranging all flights around AFG for all detachment members and supporting staff. Furthermore, was tasked with setting up support facilities and fitting them out as appropriate. On numerous occasions, Person 1 was tasked to operate beyond that for which he was trained with minimal supervision or guidance. To his credit he produced results which were outstanding. Person 1 proved himself to be an extremely hard worker who can be relied upon to carry out any task given to him to a high standard. Person 1 demonstrated an innate ability to effectively liaise with both Australian and Coalition Force supporting elements in order to achieve appropriate endstates for the detachment. He conducted this task in a professional manner and to high standard thereby producing workable solutions that met the commander's intent. In addition, he always ensured that the Detachment Commander was informed of all relevant detail. As the detachment's primary medic, Person 1 was required to organise and conduct medical training for the detachment. He performed this task to a high standard and achieved outstanding results. His knowledge of medical procedures is excellent. has proven himself to be a mature, physically capable soldier who is able to operate under complex and arduous conditions. His fitness is of a very high standard and he can be relied upon to maintain the physical and mental attributes expected of an SAS operator. professional approach to soldiering is testament to his success throughout this reporting period. His dedication to duty and high standards were instrumental in the success of the aforementioned operation and he should be proud of his efforts.

Part 2F - Suitability for	future employment			Date 3 Sep 2009 11:24
Commissioning M Instructional duties	Representational of Promotion recommend		O - Suit	ly to become suitable
Person 1 is an experience would, however, benefit from	ed SAS soldier who shoul om further operational der			
Person 1 is required to co	omplete the SIO-S (Sub 2	CPL RAInf) cou	rse.	
In time, Person 1 would	be suited as an instructor	within the Operat	ional Support Sqn	
Part 2G - Assessor's pe	ersonal details			
Employee ID Service	Rank	Initials	Family name	
	rmy CAPT			erson 30
Employment category, corps or RAINE		E TF	Signature	Date 6 00009
Part 2H - Representatio (After reading Part 2 of the report		dianta thair intention	to consequently	
(After reading Fart 2 of the report	, the assessed member is to m	Date	to represent)	
I intend to make written represe against the assessor's report ()		600709		
Part 2I - Review of repr	esentation against Pa	rt 2 assessmer	nt	
Result of representation proces	Q - Represe	ntation not supported		
,				
Part 2J - Part 2 reviewi	ng officer's personal o	letails	BANCANA AND AND AND AND AND AND AND AND AND	
Employee ID Service		initials	Family name	
Employment category, corps or	specialisation Job title		Signature	Date
Part 2K - Assessed me	mber's declaration	Person	1	
	no [v] No [ignature		Date
	es 📝 No 🗌			6 oct og

Employee ID

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Part 3A - Senior assessor's stateme

Comments Person 1 has performed to an excellent stand member who contributed to the successful outc	lard during his dep	loyment with the	OSE. He has proven to	be a reliable and valued
Person 1 is recommended to be employed or demonstrated the capability to be widely employed.	n OSE or similar ty byed as a member o	rpe operations aga of an SAS Sabre 5	ain in the future. Furthe Squadron.	er to this, he lies
Person 1 is recommended for promotion on	completion of his t	equisite career co	ourses.	
A good effort overall, well done.				
(Fromotion recommendation (O)	M - Not suitable N - Likely to becom		- Suitable - Highly suitable	
Part 3B - Senior assessor's perso	nal details			
Employee ID Service	Rank	Initials	Family name	
Army	MAJ			
Employment category, corps or specialisation	Job title		Signature	Date
RAINF	OC 2 S	AS SQN		08007 09
Part 3C - Representation intention	1			
(After reading Part 3 of the report, the assessed	d member is to indi	cate their intentio	n to represent)	
I intend to make written representation		Date		
against the senior assessor's report (Yes or No	, NO	6 000	og	
Part 3D - Review of representatio	n against Par	t 3 assessm	ent	
Result of representation process	S - Representa	ation not supporte		
Comments	T - Representa	ation supported		
				Ì
			ž	
Part 3E - Part 3 reviewing officer's	nareanal da	talle	The second secon	
Employee ID Service	Rank		Earth ann a	
Cimployee in Service	Kan	Initials	Family name	
Employment category, corps or specialisation	Job title			Y **
Employment category, curps of specialisation	opo me		Signature	Date
	*			
Part 3F - Assessed member's dec	iaration	Person 1		
I have read Part 3 Yes 📈 No of this report.	Sign	nature		Date
I have been debriefed Yes No.				6 Oct og

STAFF-IN-CONFIDENCE (After first entry)

AC 833-22 - Page 9 of 9



AC 833-22 Revised Mar 2011

Department of Defence

Employee ID		
Date		
12 Oct 2011	16:57	

Soldier Performance Appraisal Report

Performance Appraisal is a vital component of the Career Management System. Its purpose is to provide feedback to individuals, identify strengths and weaknesses and provide constructive guidance for further development. As such the data from the appraisal will be used to develop career plans and identify potential for promotion, postings and courses as well as to support administrative action resulting from unsatisfactory performance. Depersonalised aggregated data may also be used for research activities.

Report type	A - Annual S - Special	A	
		一	

Part 1A - Personal and job details

This section MUST be checked by the person to be assessed. If not completed accurately, this appraisal will not provide the required results for the member who is to be assessed.

Employee ID	Worn rank	Substa	ntive rank	checke	提出的
11	CPL		CPL	20	Nov 2011
Family name		Initials	Employment cel	egory completely	
Person	n 1	Person 1		353-2	
Unit or ship	Period start d	ate Period en	date L Do	Marketine	caeume emicevie
SASR	31 Aug		p 2011 I		20 Nov 2011

Part 1B - Nature of duties

Perso	n 1						is	em	ployed	as	the	Patrol	specialist	for	GOTHIC	1.	In	the	abser	ce	of.	G1.2
he is	а	lso	to	act	a 9	Patro	1 2	TC.	Withir	ı t	hese	roles	Person 1	is :	required	to	per	rform	the	fol	10%	ing
dutie	s;																					

- Maintain operational preparedness.
- Maintain individual ECN 353 skill sets at an operational level.
- Maintain specialist skills sets including MFF, Medic, Climbing and MOE at an operational level.
- Conduct Special Missions IAW commander's intent.
- Administratively prepare, manage and organise G1 TOT ensure the patrol is operationally prepared. Conduct patrol training within qualifications.

Manage and control patrol MEIs, stores & equip.

Guidance for assessors

- You must be the assessed member's designated assessor, at least SGT(E), and normally at least one rank higher.
- For detailed guidance on policy and procedure, consult <u>Defence Instructions (General) Personnel 10-8.</u>
- 3. You must have been the member's designated assessor for at least four months during the reporting period.
- 4. Narrative comment is to be confined to the spaces provided. Additional pages will not be accepted.
- 5. Assessment. Place a tick in the box beside the performance description that best describes the member's performance.
- 6. Part 2F. Make an assessment for each of the performance aspects under Suitability for future employment.
- Limit your appraisal to the member's work performance during the reporting period.
- 8. Do not attempt to compare the assessed member's performance with that of any other person.

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TRAINING OF OTHERS	APPRAISING THE PERFORMANCE OF SUBORDINATES
Arranged or conducted relevant training of others in a competent and timely manner.	As a designated supervisor, appraise the performance of subordinates in a competent and timely manner, including the annual confidential report.
Training of others was flawless. Although it could have been done differently, it could not have been done better.	Appreisal of supplier see to supplie the supplier supp
Arranged or provided relevant, comprehensive, timely and effective training of others.	Provided timely, accurate, comprehensive and very effective appraisal and development guidance to subordinates.
Arranged or provided effective training to others. No lapses observed.	Provided timely appraisal and development :
Arranged or provided effective training to others.	Appraised subordinates when required by the situation.
Training of others was of an acceptable standard. Lapses did not have serious consequences.	Appraisal of sucordinates as so a march sucordinates as so
Training of others did not reach an acceptable standard.	Did not provide timely appraisal and development guidance to subordinates.
Training of others was not observed or was not relevant.	Apphaisal chisterich auf de
	Part 2B - For CPL and below
	BASIC SERVICE SKILLS Applying general Service or environment military skills.
	Application of page: Sandcal Rhowley and white the page 22-22-22

Intentionally blank

Applying general Service or environment military s	skills.
Application of basic sarvice stowledge and skips was of the highest possible salviation of pincurion fault. Observed standard of general Service knowledge and skills exceeded requirements of current employment. Capably applied them to achieve high standards.	
Hadell Incuraces served of the little of the	
Had all necessary military knowledge and skills for current employment.	
Observed use of general Service knowledge and skills did not reach the standard required for current employment.	

Employee ID	
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Part 2C - Assessments	for all	members
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PRODUCTIVITY ORAL COMMUNICATION Using assigned resources to achieve the required work Oral presentation of facts, ideas, arguments and outcomes. instructions and orders. Oral communication was of an exceptionally high Produced the required work outcomes with A fluent speaker. Spoke clearly, persuasively and excellent economy of effort and resources. convincingly. No lapses were observed. Produced the required work outcomes with economy of effort and resources on finds Oral communication was very effective. No lapses observed, any lapses. Produced the required work outcomes with reasonable ral communication was effective, with only minor economy of effort and resources, on time and with only apses observed. minor lapses. Oral communication was at the minimum acceptable standard for current employment. Despite supervision, the member often falled to meet deadlines and/or did not produce the desired work Oral communication was not effective for current employment. Did not speak with sufficient clarity or logical structure. outcomes and/or generally made excessive use of resources.

APPLICATION OF JOB KNOWLEDGE AND SKILLS

Applying specific job knowledge and skills to the rformance of specialist work tasks.

inadequate knowledge and skill levels for current

position.

Application of job knowledge and skills was of an exceptionally high standard. Difficult to fault.
Observed level of job knowledge and skills exceeded requirements of current employment. Applied knowledge and skills to achieve very high standards.
Had all necessary lob knowledge and skills Standard of work exceeded requirements. Little of no supervision required:
Had all necessary job knowledge and skills. Applied job knowledge and skills to achieve the required standard with less than normal supervision.
Application of job knowledge and skills was of an acceptable standard : Required normal supervision.
Standard of work tasks performed indicated

ORGANISATION OF WORK

Planning tasks, assigning priorities, and allocating tasks.

Organisation of work was exceptional various and the contraction	
the work could have same of the conductor in a still of	
not have produced belter our contests.	Band
Planned and arranged resources and information in a logical and systematic way to produce optimal work outcomes.	\checkmark
	desirent en en en

Arranged resources and information effectively to produce the desired work outcomes.

inadequate planning and/or arranging of resources and Information.

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PROBLEM SOLVING	ARMY ETHOS
Generation of viable solutions to problems within scope of authority.	Behaving in a way that brings credit to the Army.
Problem solving was exceptionally good: Although other solutions may have been available; they would not have been more appropriate or more effective.	An exceptional manners to a liver of the values traditional mental menta
Produced optimal and comprehensive solutions to problems.	A role model who showed a strong and balanced regard for the values, traditions and practices of the Army.
Produced comprehensive, workable solutions to problems.	Member showed a strangited and for the values traditions and practices of the company of the com
Generated workable solutions to problems.	Member whose knowledge, appearance and behavlour indicated a conscientious regard for the values, traditions and practices of the Army.
Problem solving was of an acceptable standard.	Disciplined member who is a bay an allucate of the conformed to the century of the conformed to the century of the conformed to the century of the century o
Did not generate realistic solutions or was devoid of solutions.	Member who showed disregard for the values, traditions and practices of the Army.

CAPA	CITY	FOR	WORK
------	------	-----	------

Responaing positively	to	inci	reasin	g and	possib	ly
excessive workloads.					•	

Member accepted significant additional tasking and still had considerable spare capacity.	; ,
Member coped easily with all assigned duties and tasks and still had capacity for significant additional tasking.	\checkmark
Member coped with all assigned duties and tasks and had some capacity for additional tasking	
Member coped with all assigned duties and tasks, but had very little spare capacity to accept additional tasking.	
Member generally coped with all assigned duties and tasks but was working to holi capacity	
Member generally had difficulty coping with the	

RESOURCEFULNESS

Assuming the responsibilities associated with employment including the ability to take action without direction within scope of competence and authority.

Resourcefulness was of a respect to a light on a light of a light	Ĥ
An energetic self-starter, Acted promptly and independently within competence and authority.	V
Acted independently within competence and authority.	
Had to be reminded regularly of work responsibilities, particularly in regard to using initiative within the scope of competence and authority.	

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NTERPERSONAL SKILLS	TEAM WORK
orming effective and harmonious working relationships t all levels.	Working effectively as part of a team.
Interpersonal skills were of the highest possible standard. Difficult to fault: Readily established and fostered very effective, harmonious working relationships with others. Readily established harmonious working relationships with others. Jo-operated well with others at work. Interpersonal skills were of an acceptable standard. Work relationships were characterised by tensions and/or strained cooperation and/or isolation from work colleagues.	Team work was placed by a minute of the segret less of diffical for a minute of the segret less of diffical for a minute of the search of challenging tesks. An enthusiastic and constructive team player who provided strong support to the team and team leader (where appropriate). A strong team player who segret constructive was rightly regarded. A team player who fostered cooperation within the team and made a positive contribution to the team's output. Teamwork was 0. Ineffective team member. Had difficulty fitting into a team environment and/or was not readily accepted by other members of the work team.
Part 2D - Assessments for CPL and above only	,

VRITTEN COMMUNICATION	LEADERSHIP
Mritten presentation of facts, ideas, arguments, Iructions or orders.	Leading others to achieve a productive, efficient, skilled, motivated and cohesive workforce.
Written communication was of an exceptionally high standard. Difficult to fault.	Leaderenip was impertable very formal was recomplex and demanding classifications.
Written work was clear, concise, persuasive, convincing and easy to read.	Leadership achieved results of an excellent standard.
Written communication was very effective: Presented: Cases and arguments competently No lapses: V	Leagarsiji zgj. Opon slajdajt.
Written communication was effective. Presented cases and arguments competently, with only minor lapses.	Leadership achieved results of a good standard.
Written communication was at the minimum acceptable standard for the job.	
Produced written work with serious shortcomings in style, tone and quality of expression.	Leadership did not result in a productive, efficient, skilled, motivated or cohesive workforce.

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Part 2E - Assessment of performance in current job

role within G1, G Tp for the majority of the year has been as the patrol 2IC. This was due to the usual ptl 2IC deploying on Ex LONG LOOK for 6 months.

At the beginning of the reporting period following redeployment from SOTG Person 1 was involved in a number of Sqn activities including the assumption of the Recovery role. Throughout this busy period he supported his Tp well and sought to take on extra responsibilities where able. Unfortunately during this time he was unable to complete the sniper course and will now focus on his parachuting capability. Over the Christmas stand-down period was recalled at short notice without issue to conduct an MFF operational readiness exercise for a potential unit deployment. Throughout this activity he performed well before returning on leave.

Early in the year Person 1 approached his PC and requested positions with added responsibility. When his 2IC deployed on EX LONG LOOK he was given the task Person 1 has displayed a positive attitude and has been enthusiastic throughout the conduct of this role. He has actively mentored the new members to the Tp and has made conscious efforts to set good examples for them to follow. This is also reflected in his normal operator duties displaying a good work ethic and is proactive in his support to the patrol. Person 1 has covered off on the patrols deficiency for a 2IC well and has shown that he is ready to take on the role of a patrol 2IC on a permanent basis in the future.

completed a Subject 2 for CPL course early in the year and gained very good result. As a result, after returning from this course Person 1 was promoted. Soon thereafter, ICW his newly acquired rank, Person 1 completed a PJMSL course where he also attained a good result. During the period his patrol was also tasked with becoming proficient in HAPO with the new oxygen system. During this exercise Person 1 developing MFF skills were also illustrated indicating that he had spent significant amounts of his own time on weekends jumping. In line with these performances and his primary insertion skill being MFF, he is due to attend a DZSO course at the end of the year and will also attend a PJMFF course early in the new year.

Person 1 has made it known that parachuting is an area in which he would like to make a career path within the unit. This intention coupled with his keen attitude and developing MFF skill should see him progress well within the parachuting stream.

Over a number of land-based activities including EX GAMBIT WEST being a domestic joint police/TAG activity in WA and EX IRON ROTOR in Sydney Person 1 CQB and helicopter insertion skills were observed to be at a very good standard. His operational experience in these areas was evident following extensive helicopter missions on SOTG.

During the period also re-certified his patrol medic qualification achieving very good results in the live tissue training. His medic skills were put to the test shortly after when he assisted with an injured soldier during a parachute freefall series. All reports indicated that he applied professional first aid measures and worked in a calm and controlled manner.

Person 1 maintains a high level of fitness, is a well prepared and professional soldier who has gained many years of military and operational experience. He gives well considered opinions and is always willing to contribute during patrol and Tp discussions Person 1 is now a senior soldier within the Tp and has gained the confidence and trust of both his peers and senior soldiers due to his efforts over the reporting period.

Person 1 is an excellent team member and is a soldier who takes pride in his performance. He has gained in confidence and maturity throughout the year and has performed to a very good standard.

Employee ID

Date
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ran zr - Sunavinty	ioi latare employment	
Commissioning N Instructional duties C	Representational duties O Promotion recommendation N	
In line with his previous o	perational experience and instructional proficiencies on his recent	PJMSL course I would consider CPL
Person 1 suitable for further	instructional and representational duties within and external to the	unit, Pending subject and further SASR

In line with his previous operational experience and instructional proficiencies on his recent PIMSL course I would consider CPL suitable for further instructional and representational duties within and external to the unit. Pending subject and further SASR operator specialist courses along with his desire to undertake the responsibilities I consider Person 1 suitable for future positions of responsibility within SASR.

These positions should be realised when he acts again as a patrol 2IC on CHOGM and undertakes the PTS DZSO and PJMFF courses in the near future. Person 1 should ensure he uses the coming few months as excellent opportunity to take on further responsibilities IOT develop his leadership skills.

Part 2G - Assessor's personal details

mployee ID	Service	Rank	Initials F	amiynana	
	Army	CAPT		Person 58	
Employment category,	corps or specialisation	Job title		123 m. grand de la companya del companya del companya de la compan	
RAINF	- SASR	OC G TP 2 S	_ ,	68	120211
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Part 2H - Representation intention

(After reading Part 2 of the report, the assessed member is to indicate their intention to represent)

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against the assessore report (Ver or Net	INO BELLEVIE	1 イン	
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Part 2I - Review of representation against Part 2 assessment

Result of representation process Representation apported:

Part 2J - Part 2 reviewing officer's personal details

Employee ID Service	Rank initials	
)	
Employment/category, corps or specialisation	Job title Signal	
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Part 2K - Assessed member's declaration

have read Part 2 of this report.	Yes [⊿] No □	Signature	Person 1	Buchnight com		httranc.
I have been debriefed on Part 2 of this report.	Yes V No				14 Oct 11	100141112

Employee ID			
Deta			
Date			
12 Oct 2011	16:57		

Part 3A - Senior assessor's state	ment	
Comments I concur with the Assessing Officer's consoldier with the Sqn and taking on the instructional skills are a key asset to the every opportunity.	further responsibilities befitting of his re	ecent promotion. His Parachute
Following completion of SOTG at the position within the Tp.	end of the next year Person 1 should	be competitive for a Patrol 2IC
rionionuli lecommengancia (N)	л - Not suitable O Suitable I - Likely to become suitable P - Highly su	abe and the second seco
Part 3B - Senior assessor's perso	onal details	_
Employee ID Service	Rank Initials Fami	ynane i v
Army	MAJ	
Employment category, corps or specialisation	Job title Signature	
RAINF - SASR	OC 2 SQN SASR	[120711]
Part 3C - Representation intention (After reading Part 3 of the report, the assessed		ent)
Lintend to make written representation against the senior assessor's report (Yes or No	NO 14 oct 11	
Part 3D - Review of representatio	n against Part 3 assessment	COLD TO THE PARTY OF THE PARTY
Result of representation process	S Representation not encroned Title S T - Representation supported	
Comments	· · · · · · · · · · · · · · · · · · ·	
		, ,
Part 3E - Part 3 reviewing officer's	s personal details	
Employee ID Service	Rank Inflials AU Fard	Valuation and the second se
Employment category, corps or specialisation	Job title Signature	
Part 3F - Assessed member's dec	laration	
Inave read Part 3 Yes ✓ No		
I have been debriefed	Signature	
on Part 3 of this report. Yes V No		14 007 11



AD 649 Revised 3 Oct 2012

Department of Defence

Employee ID	
Date	.,
9 Oct 2012	09:15:15

Soldier Performance Appraisal Report

Performance Appraisal is a vital component of the Career Management System. Its purpose is to provide feedback to individuals, identify strengths and weaknesses and provide constructive guidance for further development. As such the data from the appraisal will be used to develop career plans and identify potential for promotion, postings and courses as well as to support administrative action resulting from unsatisfactory performance. Depersonalised aggregated data may also be used for research activities.

Report type	A - Annual	S - Special	A	ANT - 1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-
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Part 1A - Personal and job details

This section MUST be checked by the person to be assessed.
 If not completed accurately, this appraisal will not provide the required results for the member who is to be assessed.

Employee ID	Worn rank	Substantive rank	Date of seniority
	CPL	CPL	1 Jan 2011
Family name	Init	ials Employment ca	ategory, corps or specialisation
Person 1		. 353-2, RAINI	F
Unit or ship	Period start date	Period end date	Duty type Res days Date of mid-term review
SASR	1 Sep 2011	1 Sep 2012	D 20 Nov 2011

Part 1B - Nature of duties

Person 1 has been posted to SASR since 2004, during the reporting period he has been employed as the patrol scout for G1. During the reporting period Person 1 had to maintain his specialist skill sets which include PJMFF/SL, DZSO, climbing, patrol medic and other individual ECN 353 skill sets to an operational level. Person 1 is responsible to maintain operational preparedness including AIRN, ISOPREP and passport requirements. Person 1 is responsible to his patrol commander to ensure that all patrol ICATS equipment are managed and maintained correctly. Person 1 is to be able to Conduct Special Missions and tasking IAW commander's intent and G11 and contribute to planning for Special Operations when required. Person 1 is to contribute to the development and lessons learnt IOT further enhance SASR TTPs.

Guidance for assessors

- 1. You must be the assessed member's designated assessor, at least SGT(E), and normally at least one rank higher.
- For detailed guidance on policy and procedure, consult <u>Defence Instructions (General) Personnel 10-8</u> and single Service instruction.
- 3. You must have been the member's designated assessor for at least four months during the reporting period.
- 4. Narrative comment is to be confined to the spaces provided. Additional pages will not be accepted.
- 5. Assessment. Place a tick in the box beside the performance description that best describes the member's performance.
- 6. Part 2F. Make an assessment for each of the performance aspects under Suitability for future employment.
- 7. Limit your appraisal to the member's work performance during the reporting period.
- 8. Assessment of the member's management of OH&S matters is to be included in the member's work performance appraisal.
- The member's performance in the development and training of subordinate(s) is to be considered when assessing their overall performance.
- Part 3A Senior assessors may make Promotion and Comissioning recommendations for all ranks. However, it is mandatory to provide an assessment and comment on a WO1's suitability for commissioning.
- 11. Do not attempt to compare the assessed member's performance with that of any other person.

Employee ID						
Date						
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Part 2A - Assessments for members with subordin	nates		
Training of others		SGT and above only Promote the wellbeing and development of subordinates	
Arranged or conducted relevant training of others in a competent and timely manner.		As a designated supervisor, promote the wellbeing ar development, both personal and professional, of subordinates including effective performance apprais	
Training and development of others was exceptional in all situations.		Development guidance provided and appraisal of subordinates was of an exceptionally high standard. Promoted the wellbeing of subordinates.	77.7
Proactively arranged or provided relevant, comprehensive, timely and effective training and development of others.	-	Development guidance and appraisal of subordinates was timely, accurate, comprehensive and very effective. Promoted the wellbeing of subordinates.	
Effectively arranged or provided relevant training and development to others.	V	Development guidance and appraisal of subordinates was timely and effective. Promoted the wellbeing of subordinates most of the time.	
Arranged or provided effective training and development to others. No lapses observed.		Appraisal of subordinates and development guidance provided was of an acceptable standard. Adequately promoted the wellbeing of subordinates some of the time.	
Training and development of others was of an acceptable standard. Lapses did not have serious consequences.		Appraisal of subordinates was adequate with minor shortcomings. Had difficulty providing development feedback and guidance.	
Fraining and development of others generally met minimum requirements but requires further development.		Appraisal of subordinates shows shortcomings. Had difficulty providing development feedback and guidance, and promoting the wellbeing of subordinates.	The major prints
Fraining and development of others was not effective and did not reach an acceptable standard.		Did not provide timely appraisal and development guidance to subordinates, and/or did not promote the wellbeing of subordinates.	
Fraining and development of others was not observed or was not relevant.		Well being and development of subordinates was not observed or was not relevant.	
	I	Part 2B - For CPL and below	
		Basic service skills	
		Applying basic Service knowledge or environment military skills and displaying a high level of professionalism through the Army core values.	
		Application of basic Service knowledge, skills and level of professionalism was of the highest possible standard.	
		Observed standard of basic Service knowledge, skills and level of professionalism exceeded requirements of current employment. Capably applied them to achieve high standards.	✓
Intentionally blank		Had all the necessary Service knowledge, skills and a high level of professionalism for current employment. Standards at times exceeded requirements of the current job.	
		Has and applied all necessary basic Service knowledge, sk and level of professionalism for current employment.	cilla_
		Basic Service knowledge, skills and level of professionalism were at the acceptable standard with minor lapses.	
		Application of basic Service knowledge, skills and level of professionalism were adequate but further development is required.	
		Observed use of general Service knowledge, skills and level of professionalism did not reach the standard required for current employment.	

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Date				
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	9 Oct 2012 09:15:15	
Part 2C - Assessments for all members		
Oral communication	Productivity	
Communicates effectively at all levels, clearly, accurately, regularly, and actively listens to others.	Using assigned resources to achieve the required work outcomes.	
Oral communication was outstanding, and demonstrated active listening in all communication.	Productivity was consistently at an exceptionally high standard with very efficient and effective use of resources.	
Oral communication was excellent and demonstrated active listening in all communication.	Produced the required work outcomes with excellent economy of effort and resources, regardless of constraints or pressures.	✓
Oral communication was very effective demonstrating active listening in all communication.	Produced the required work outcomes with very good economy of effort and resources.	
Oral communication was effective, demonstrating active listening with only minor lapses observed.	Produced the required work outcomes with good economy of effort and resources, on time. Any lapses were of minor consequence.	
Routine oral communication was at the minimum acceptable standard for current employment occasionally demonstrating active listening.	Productivity was of an acceptable standard with satisfactory use of resources.	
Oral communication was below the minimum acceptable standard for current employment due to frequent lapses, did not demonstrate active listening.	The member occasionally failed to produce the required work outcomes with inefficient or ineffective use of resources.	Was Country to
Oral communication was not effective for current employment. Did not speak with sufficient clarity or logical structure, and did not demonstrate active listening.	Despite supervision, the member often failed to meet deadlines and/or did not produce the desired work outcomes and/or generally made excessive use of resources.	
Application of job knowledge and skills	Organisation of work	
Applying specific job knowledge and skills to the performance of specialist work tasks.	Planning tasks, assigning priorities and allocating tasks to achieve outcomes.	
Application of job knowledge, skills and effective problem resolution was of an exceptionally high standard in all aspects of employment.	Organisation of work, team members and decision making was exceptional. Organised and/or coordinated activities in all situations to achieve outstanding results.	
Level of job knowledge and skills exceeded requirements of current employment. Applied knowledge, skills and effective problem resolution to achieve very high standards.	Planned and arranged resources, team members and tasks in a logical and systematic way. Made decisions at the appropriate level to produce very efficient and effective work outcomes.	✓
Had all necessary job knowledge and skills. Standard of work exceeded requirements demonstrating effective problem resolution.	Arranged resources, team members and tasks competently. Made decisions at the appropriate level to produce efficient and effective work outcomes.	
Had all necessary job knowledge and skills. Applied job knowledge, skills and resolved problems with effective solutions to achieve the required standard.	Arranged resources and team members to produce the desired work outcomes. Made decisions at the appropriate level on routine tasks and other assigned tasks.	
Application of job knowledge, skills and effective problem resolution was of an acceptable standard. Required normal supervision.	Organisation of work and decision making was of an acceptable standard on routine tasks.	
Standard of work tasks performed and effective problem resolution was inconsistent. Required more supervision than most.	Work outcomes were not always achieved due to shortcomings in the member's organisational ability and decision making.	
Standard of work tasks performed indicated inadequate knowledge, skills and effective problem resolution levels	Failure to adequately make decisions at the appropriate level, plan and/or arrange resources, team members and	

mployee ID	
Date	
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Part 2C - Assessments for all members (Continued)			
Problem solving		Army values, culture and behaviour	
Analysing problems, developing appropriate solutions and taking action to solve problems within scope of authority and team capacity.		Behaving in a way that brings credit to the Army and Australia.	
Displayed exceptional analytical skills. Produced and implemented innovative and effective solutions to complex problems and/or situations.	To programme	An exceptional member whose professionalism and regard for the values, traditions and practices of the Army could not be faulted.	
Displayed well developed analytical skills. Produced and implemented innovative and effective solutions to complex problems and/or situations.	V	A role model who showed a strong and balanced level of professionalism and regard for the values, traditions and practices of the Army.	V
Was able to analyse a range of problems to produce and implement comprehensive, effective solutions.	and the same	Member showed a strong level of professionalism and regard for the values, traditions and practices of the Army.	
Identified the key elements of problems, produced and implemented practical solutions.		Member whose knowledge, appearance and behaviour indicated a conscientious level of professionalism and regard for the values, traditions and practices of the Army.	
Routine problem solving and implementation of solutions was of an acceptable standard.		Disciplined member who behaved ethically and conformed to the values, traditions and practices of the Army.	
Was generally able to produce and implement adequate solutions but requires further development.		Member whose behaviour and/or appearance were not always consistent with the values, traditions and practices of the Army.	
Did not generate and implement realistic solutions or was unable to offer solutions.		Member showed disregard for the values, traditions and practices of the Army.	
Capacity for work		Resourcefulness	
Responding positively to increasing and possibly excessive workloads, whilst maintaining balance of personal well being.		Demonstrate responsible initiative associated with employment including the ability to take action without direction within scope of competence and authority.	
Member accepted and coped with significant additional asking and was able to maintain exceptional performance whilst maintaining personal well being.		Resourcefulness and critical thinking was of an exceptionally high standard at all times. Demonstrated an exceptional ability to take action without direction.	A de company
Member coped with all assigned duties and significant additional tasks and was able to maintain a very high level of performance whilst maintaining personal well being.	<u>\</u>	A member who applied a high level of resourcefulness and critical thinking. Acted promptly and independently within competence and authority.	V
Member coped easily with all assigned duties and managed additional tasks effectively without detriment to normal duties and their personal well being.	mania	A self-starter and critical thinker who acted independently within competence and authority to prevent or resolve unusual or difficult situations.	
Member coped with all assigned duties and tasks. Achievement of additional tasks had minimal impact on outine duties and their personal well being.		A member who thinks critically and acted independently within competence and authority.	
Member coped with all assigned duties and tasks and naintained their personal well being, but was working to ull capacity.		A member who displayed resourcefulness of an acceptable standard. Sought appropriate guidance,	
Member struggled to cope with normal workload and naintenance of their personal well being, with no ability to accept additional tasking.		A member who occasionally failed to apply an adequate level of resourcefulness and critical thinking. May have sought guidance on matters within member's scope of authority.	
Member was unable to cope with the normal set of tasks und/or maintain their personal well being, for their current position.		Had to be reminded regularly of work responsibilities. Unable to use initiative and critical thinking within the scope of competence and authority.	

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	Part 2C - Assessments for all members (Continued)	,				
	Interpersonal relations	Team work				
	Forming effective and harmonious constructive working relationships, including compassion at all levels across and beyond Army, valuing diversity, and respecting all individuals.	Working effectively as part of a team allowing everyone to contribute to team tasks and makes all team members feel valued and encourages the team to perform to their areas of strength.				
	Interpersonal skills were outstanding building constructive working relationships, respecting all individuals and valuing diversity.	Legend	Team work was of exceptionally high standard treating all team members with respect, regardless of difficult or demanding circumstances or challenging tasks.	V		
	Readily established and fostered very effective harmonious constructive working relationships with others respected all individuals and valued diversity.	V	An enthusiastic and constructive team player who treats all team members with respect and provides strong support to the team and team leader.	and the second s		
	Readily established harmonious constructive working relationships with others, respected individuals and valued diversity.		A strong team player whose contribution was highly regarded and who treated team members with respect.			
\	Cooperated well with others at work maintaining constructive working relationships, respected individuals and appreciated diversity.		A team player who made a positive contribution, fostered cooperation within the team and treated team members with respect.			
	Interpersonal skills were of an acceptable standard maintaining working relationships respecting individuals and appreciating diversity.		Team work was of an acceptable standard generally treating team members with respect.			
	Interpersonal skills require further development. Has difficulty relating to others and maintaining working relationships, respecting individuals and/or does not value diversity.		A member whose contribution to the team objective was not always effective, rarely treated team members with respect.			
	Working relationships were characterised by tensions and/or strained cooperation does not respect individuals and/or appreciate diversity.		A member who had difficulty fitting into the team environment and/or was not readily accepted by other members of the team. Did not treat team members with respect.			
	Part 2D - Assessments for CPL and above only					
	Written communication		Leadership			
	Written presentation of facts, ideas, arguments, instructions or orders are clear, consistent and timely		Displays intellectual, physical or moral courage in leading others to achieve a productive, efficient, skilled motivated and cohesive workforce.	d,		
į	Written communication was of an outstanding standard and produced in a timely manner.		Demonstrated leadership of an outstanding standard in highly complex and demanding circumstances.			
	Written work was of an excellent standard and produced in a timely manner.		Demonstrated leadership achieved results of an excellent standard in more complex and demanding circumstances.	V		
	Written communication was very effective and produced in a timely manner.		Leadership achieved results of a very good standard.			
	Written communications was effective. The majority of work produced in a timely manner.	V	Leadership achieved results of a good standard.			
	Written communication was at an acceptable level for the job and generally produced in a timely manner.		Leadership was of a satisfactory standard.			
	Written communication was adequate but requires further development to overcome shortcomings, occasionally produced in a timely manner.		Leadership was adequate, however, there were shortcomings. Further development is required.	Charles and the charles are th		
	Written work was not effective with shortcomings in style, tone and/or quality of expression and not produced in a timely manner.		Leadership did not result in a productive, efficient, skilled, motivated or cohesive workforce.			
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Part 2E - Assessment of performance in current job (4000 characters max)

Person 1 has been posted to G Troop, 2 SAS SQN throughout the reporting period. During the period, CPL Person 1 attended a number of specialist insertion skill training courses within Australia and the US, held the positions of patrol Scout and patrol 2IC and deployed on SOTG 18.

Person 1 commenced the reporting period attending the Parachute Jump Master Static Line Course at the Parachute Training School in Nowra. Person 1 has a keen interest in parachuting and his performance on the course was excellent. He displayed a keen attitude and was always willing to take advice and pass it on to the students he was instructing. He displayed sound instructional ability and had no issues on the course.

Due to the absence of his 2IC, Person 1 stepped up as the Patrol 2IC position for Op AMULET during the Commonwealth Heads Of Government Meeting in Perth 2011. His performance in this role was excellent. He displayed an excellent work ethic, was proactive at all times in seeking further work and assisting his Patrol Commander. He ensured the patrol was always prepared both operationally and administratively, which enabled his Patrol Commander to concentrate on training and planning.

In November of 2011, Person 1 deployed to the US on exercise Night Eagle working with US SOCOM. He participated in the Freefall canopy control course and joint SF training. He attained very good results and valuable freefall experience that he has been active in passing on to others in order to enhance the skills of soldiers within the Squadron. Person 1 individual skill throughout the exercise and approachable nature ensured the ADF and SASR's reputation with US Special Forces is maintained.

Upon his return from the US, Person 1 returned to PTS in Nowra to conduct a Drop Zone Safety Officer Course. He again approached the course with a keen attitude and attained good results, increasing his parachute skill sets and experience.

In Feb 12, Person 1 attended the Parachute Jump Master Freefall Course. Person 1 performance on this course was excellent. He has taken it upon himself to gain qualification and experience in this field and expressed a strong desire to further himself by gaining his tandem freefall qualification. Person 1 is an asset to the Freefall capability in SASR and significant potential to become the SME within the unit. His knowledge and experience will enhance this important capability both for SASR and the ADF.

Person 1 participated in the Squadron's build up training for deployment to Afghanistan on SOTG 18. He took an active interest in conducting high altitude drop zone training, assisting with the preparation and execution of Troop training.

Person 1 deployed on SOTG 18, OP SLIPPER, in Jun 12, where his performance has been very good. He has participated in numerous Time Sensitive and and Deliberate Action missions. He has displayed a high level of professionalism and maturity in his role as the patrol scout and has been involved in a number of high threat situations. He has displayed the sound tactical skills, discipline, calmness under stress and leadership expected of an SAS operator.

Person 1 displays a very good level of fitness. He is a well prepared and professional soldier who has gained significant operational experience. He gives well considered opinions and is always willing to contribute during patrol and troop discussions. Person 1 is now a senior soldier within the troop; he has gained the confidence and trust of both his peers and senior soldiers due to his efforts over the reporting period.

Person 1 is an excellent team member. He is a soldier who takes pride in his performance, is always keen to learn and as a result he has performed to an excellent standard over the past year.

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						Date	
Part 2F - Suitability	for future employme	nt				9 Oct 2012	09:15:15
Commissioning	A R	epresentatio	nal duties [5		applicable	
		•	L.			suitable ely to become	suitable
instructional duties	Promo	otion recomm	nendation (3	D - Suit	-	ounable
	oblination to the Control of Washington and analysis of Assessed A				E - High	nly suitable	
Comments (1450 charac	ormed to an exceller	nt etandare	t avar this :	ranartina na	riad Hichar	d work in on	hanoina hi
personal MFF skill s	sets has ensured 2 S	gn has an	operationa	l MFF capat	ility. In line v	with his exp	erience and
proficiencies as a N	IFF operator, Person	1 is higi	hly suitable	for employe	ed in Air Ops	Wing OSS,	after his
time as a Pti 210. Pe	erson 1 is highly su	d of sidefil	e employed	as a Pti Zic	in a Sabre S	sqn.	
Person 1 will be h	ighly competitive for	promotio	n courses i	n 2013/14. H	le should be	considered	for the
SASR Patrol Comm	anders' Course in 20	114 in orde	r to further	develop his	leadership a	ind planning	j skilis.
**************************************		······································	H-M-Market				
Part 2G - Assessor's	personal details						
Employee ID	Service	Rank		Initials	Family name	е	
	Army	CAPT					
Employment category.	corps or specialisation	Job title		Simm		Date	
		00 0 TD		<u></u>			
RAINF		OC G IP	, 2 SQN SA	SR		09	00712
Part 2H - Representa	ation intention						
After reading Part 2 of	the report, the assessed	member is	to indicate th	eir intention to	represent)	 i	
	, parameters and a		Date	1-1-			
I intend to make written against the assessor's		No 1	19/	10/12			
'art 21 - Review of re	epresentation agains	······································				·	***************************************
Result of representation	in process	•	ntation not su ntation suppo	• •			
Comments (1450 charac		(- Nopresei	nation sappo	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	la a a maga a labadh an dh'i Mhalanda dh'i a maring an ann a gha an a ga ann an a agus an a		
Part 2J - Part 2 revie	wing officer's persor	nal details					
Employee ID	Service	Rank		Initials	Family name		
Employee 15		TOTAL		n mucro	T army mann		
		L					
Employment category,	corps or specialisation	Job title		Signatu	ure	Date	
		No.		Street Section 1999		eliment parado	
and DV Assessed a		*	Doroon	1			
	nember's declaration	<u> </u>	Person				
I have read Part 2 of this report.	YAS IZI NO I	Si	gnatu			Date .	
I have been debriefed	in the second of	· ř			Sain and Mills Sain a	9/10/	/2
on Part 2 of this report.	Yes / No					1/10/	14

STAFF-IN-CONFIDENCE (After first entry)

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Employee ID	
Date	
9 Oct 2012	09:15:15

Part	3A	- Seni	or a	ssess	or's	staten	anf
	vn	- 00111	U I 0	0.0000	u a	oloic:	162111

Comments (1450 characters max) Person 1 has performed to a high stan he has continued to develop his own kn has displayed his effectiveness as an indeveloped as a junior leader within the person deployed on combat operations. Person employment in the MFF field. An impress	owledge and skills, an structor on a number patrol and Troop. He h	nd to contrib of courses to las performe tive for servi	ute to SASR's MFF capability. He hroughout the reporting period and to a very high standard whilst
Promotion recommendation C Commissioning recommendation A	A - Not applicable B - Not suitable C - Likely to become s D - Suitable E - Highly suitable	uitable	
Part 3B - Senior assessor's personal deta	alls		
Employee ID Service	Rank	Initials	Family name
Army	MAJ		Person 15
Employment category, corps or specialisation	Job title	Signatur	
RAINF	OC 2 SAS SQN		090012
Part 3C - Representation intention (After reading Part 3 of the report, the assessed	member is to indicate the	ar intention to r	epresent)
I intend to make written representation against the senior assessor's report (Yes or No). Part 3D - Review of representation against	No	0/10/12	
Result of representation process	S - Representation not		
Comments (1450 characters max)	T - Representation sup	ροπεα	
			-
Part 3E - Part 3 reviewing officer's persor	nal details		
Employee ID Service	Rank	Initials	Family name
	Providing		
Employment category, corps or specialisation	Job title	Signatur	re Date
Part 3F - Assessed member's declaration			
I have read Part 3 of this report. I have been debriefed on Part 3 of this report. Yes No	Person 1 Sigr	WWw.double	Date / / / / / / / / / / / / / / / / / / /



AD 649 Revised 3 Oct 2012

Department of Defence

Employee ID	Pringensen van Selman van van Selman van van Selman van van van van van van van van van v
Date	Processing and a second of the
19 Oct 2013	13:23:29

Soldier Performance Appraisal Report

Performance Appraisal is a vital component of the Career Management System. Its purpose is to provide feedback to individuals, identify strengths and weaknesses and provide constructive guidance for further development. As such the data from the appraisal will be used to develop career plans and identify potential for promotion, postings and courses as well as to support administrative action resulting from unsatisfactory performance. Depersonalised aggregated data may also be used for research activities.

ı			Marie Lawrence Committee C		 	
	Report type	A - Annual	S - Special	A		
J				· · · · · · · · · · · · · · · · · · ·		

Part 1A - Personal and Job details

This section MUST be checked by the person to be assessed.
 If not completed accurately, this appraisal will not provide the required results for the member who is to be assessed.

Employee ID	Worn rank	Substantive rank	Date of seniority
	CPL	CPL	1 Jan 2011
Family name	<u>In</u>	itials Employment c	category, corps or specialisation
Person 1		RAINF	
Unit or ship	Period start date	Period end date	Duty type Res days Date of mid-term review
SASR	11 Feb 2013	19 Oct 2013	D 7 Jun 2013

Part 1B - Nature of duties

As a Patrol 2IC, Person is required to manage and administer his patrol and provide support to his Patrol Commander. He is to ensure that patrol members are operationally ready and are fully trained, resourced and equipped for their specific roles. He is required to maintain and enhance the capabilities and skill sets of his patrol. He is to understand the professional and personal circumstances of each member of his patrol. He is to raise a patrol folder and ensure the effective management of patrol members through its use. Personal is to provide management support to the Troop Sergeant at all times.

Person 1 is expected to understudy his Patrol Commander. He is to provide advice and support to his Patrol Commander and Troop Sergeant. He is required to support the chain of command at all times. He is to support effective passage of information within the Troop Person is responsible for his own individual readiness compliance and is to actively engage with he Troop HQ IOT enhance his career progression. As the 2IC within the designated MFF patrol, Person is required to maintain currency in SASR Standard Operational Procedures and safety requirements of all MFF operations.

During SOTG 20, Person 1 is expected to manage and administer his patrol within Force Element - Alpha, Person 1 is expected to maintain his situational awareness IOT take command of his patrol if necessary.

Guidance for assessors

- 1. You must be the assessed member's designated assessor, at least SGT(E), and normally at least one rank higher
- For detailed guidance on policy and procedure, consult <u>Defence Instructions (General) Personnel 10-8</u> and single Service Instruction.
- 3. You must have been the member's designated assessor for at least four months during the reporting period.
- 4. Narrative comment is to be confined to the spaces provided. Additional pages will not be accepted.
- 5. Assessment. Place a fick in the box beside the performance description that best describes the member's performance.
- 6. Part 2F. Make an assessment for each of the performance aspects under Suitability for future employment.
- 7 Limit your appraisal to the member's work performance during the reporting period.
- 8. Assessment of the member's management of OH&S matters is to be included in the member's work performance appraisal.
- The member's performance in the development and training of subordinate(s) is to be considered when assessing their overall performance.
- 10. Part 3A Senior assessors may make Promotion and Comissioning recommendations for all ranks. However, it is mandatory to provide an assessment and comment on a WO1's sulfability for commissioning.
- 11. Do not attempt to compare the assessed member's performance with that of any other person.

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Date	
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Part 2A - Assessments for members with subordi Training of others	nates	Promote the wellbeing and development of	
Arranged or conducted relevant training of others in a competent and timely manner.		subordinates As a designated supervisor, promote the wellbeing an development, both personal and professional, of subordinates including effective performance apprais	
Training and development of others was exceptional in all situations.		Development guidance provided and appraisal of subordinates was of an exceptionally high standard. Promoted the wellbeing of subordinates.	1]
Proactively arranged or provided relevant, comprehensive, timely and effective training and development of others.	V	Development guidance and appraisal of subordinates was timely, accurate, comprehensive and very effective. Promoted the wellbeing of subordinates.	П
Effectively arranged or provided relevant training and development to others.		Development guidance and appraisal of subordinates was limely and effective. Promoted the wellbeing of subordinates most of the time.	f 1
Arranged or provided effective training and development oothers. No lapses observed.		Appraisal of subordinates and development guidance provided was of an acceptable standard. Adequately promoted the wellbeing of subordinates some of the time.	
Fraining and development of others was of an acceptable standard. Lapses did not have serious consequences.		Appraisal of subordinates was adequate with minor shortcomings. Had difficulty providing development feedback and guidance.	
Fraining and development of others generally met ninmum requirements but requires further development.		Appraisal of subordinates shows shortcomings. Had difficulty providing development feedback and guidance, and promoting the wellbeing of subordinates.	
raining and development of others was not effective and lid not reach an acceptable standard.		Did not provide timely appraisal and development guidance to subordinates, and/or did not promote the wellbeing of aubordinates.	
raining and development of others was not observed or vas not relevant.		Well being and development of subordinates was not observed or was not relevant.	77000
			
	1	Part 2B - For CPL and below	
		Basic service skills	
		Applying basic Service knowledge or environment military skills and displaying a high level of professionalism through the Army core values.	
		Application of basic Service knowledge, skills and level of professionalism was of the highest possible standard.	ſij
Intentionally blank		Observed standard of basic Service knowledge, skills and level of professionalism exceeded requirements of current employment. Capably applied them to achieve high standards.	(×)
		Had all the necessary Service knowledge, skills and a high level of professionalism for current employment. Standards at times exceeded requirements of the current job.	
		Has and applied all necessary basic Service knowledge, sland level of professionalism for current employment.	kil^1
		Basic Service knowledge, skills and level of professionalism were at the acceptable standard with minor lapses.	
		Application of basic Service knowledge, skills and level of professionalism were adequate but further development is required.	<u>[_j</u>
		Observed use of general Service knowledge, skills and level of professionalism did not reach the standard required for current employment.	

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Part 2C - Assessments for all members			
Oral communication		Productivity	
Communicates effectively at all levels, clearly, accurate regularly, and actively listens to others.	ely,	Using assigned resources to achieve the required wo outcomes.	rk
Oral communication was outstanding, and demonstrated active listening in all communication.		Productivity was consistently at an exceptionally high standard with very efficient and effective use of resources.	7 1 1
Oral communication was excellent and demonstrated active listening in all communication.		Produced the required work outcomes with excellent economy of effort and resources, regardless of constraints or pressures.	V
Oral communication was very effective demonstrating active listening in all communication.	\boxtimes	Produced the required work outcomes with very good economy of effort and resources.	
Oral communication was effective, demonstrating active listening with only minor lapses observed.		Produced the required work outcomes with good economy of effort and resources, on time. Any lapses were of minor consequence.	
Routine oral communication was at the minimum acceptable standard for current employment occasionally demonstrating active listening.		Productivity was of an acceptable standard with satisfactory use of resources.	-
Oral communication was below the minimum acceptable standard for current employment due to frequent lapses, did not demonstrate active listening.	1	The member occasionally failed to produce the required work outcomes with inefficient or ineffective use of resources.	
Oral communication was not effective for current employment. Did not speak with sufficient clarity or logical structure, and did not demonstrate active listening.		Despite supervision, the member often failed to meet deadlines and/or did not produce the desired work outcomes and/or generally made excessive use of resources.	
Application of job knowledge and skills	1	Organisation of work	
Applying specific job knowledge and skills to the performance of specialist work tasks.		Planning tasks, assigning priorities and allocating tasks to achieve outcomes.	
Application of job knowledge, skills and effective problem resolution was of an exceptionally high standard in all aspects of employment.		Organisation of work, team members and decision making was exceptional. Organised and/or coordinated activities in all situations to achieve outstanding results.	
Level of job knowledge and skills exceeded requirements of current employment. Applied knowledge, skills and effective problem resolution to achieve very high standards.	∇	Planned and arranged resources, team members and tasks in a logical and systematic way. Made decisions at the appropriate level to produce very efficient and effective work outcomes.	Σ
Had all necessary job knowledge and skills. Standard of work exceeded requirements demonstrating effective problem resolution.		Arranged resources, team members and tasks competently. Made decisions at the appropriate level to produce efficient and effective work outcomes.	1 1
Had all necessary job knowledge and skills. Applied job knowledge, skills and resolved problems with effective solutions to achieve the required standard.	ΞĪ	Arranged resources and team members to produce the desired work outcomes. Made decisions at the appropriate level on routine tasks and other assigned tasks.	
Application of job knowledge, skills and effective problem resolution was of an acceptable standard. Required normal supervision.	الـآ	Organisation of work and decision making was of an acceptable standard on routine tasks.	,
Standard of work tasks performed and effective problem resolution was inconsistent. Required more supervision than most.		Work outcomes were not always achieved due to shortcomings in the member's organisational ability and decision making.	
Standard of work tasks performed indicated inadequate knowledge, skills and effective problem resolution levels for current position.	[]	Failure to adequately make decisions at the appropriate level, plan and/or arrange resources, leam members and information to achieve work outcomes.	

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Part 2C - Assessments for all members (Continued)			
⁵ roblem solving		Army values, culture and behaviour	
Analysing problems, developing appropriate solutions and taking action to solve problems within scope of authority and team capacity.		Behaving in a way that brings credit to the Army and Australia.	
Displayed exceptional analytical skills. Produced and implemented innovative and effective solutions to complex problems and/or situations.		An exceptional member whose professionalism and regard for the values, traditions and practices of the Army could not be faulted.	V
Displayed well developed analytical skills. Produced and implemented innovative and effective solutions to complex problems and/or situations.	V	A role model who showed a strong and balanced level of professionalism and regard for the values, traditions and practices of the Army.	
Nas able to analyse a range of problems to produce and implement comprehensive, effective solutions.		Member showed a strong level of professionalism and regard for the values, traditions and practices of the Army	[_]
dentified the key elements of problems, produced and mplemented practical solutions.		Member whose knowledge, appearance and behaviour indicated a conscientious level of professionalism and regard for the values, traditions and practices of the Army.	
Routine problem solving and implementation of solutions was of an acceptable standard.		Disciplined member who behaved ethically and conformed to the values, traditions and practices of the Army.	
Nas generally able to produce and implement adequate colutions but requires further development.		Member whose behaviour and/or appearance were not always consistent with the values, traditions and practices of the Army.	
Did not generate and implement realistic solutions or was inable to offer solutions.		Member showed disregard for the values, traditions and practices of the Army.	1_1
Capacity for work	1	Resourcefulness	
Responding positively to increasing and possibly excessive workloads, whilst maintaining balance of personal well being.		Demonstrate responsible initiative associated with employment including the ability to take action without direction within acops of competence and authority.	
dember accepted and coped with significant additional asking and was able to maintain exceptional performance whitst maintaining personal well being.		Resourcefulness and critical thinking was of an exceptionally high standard at all times. Demonstrated an exceptional ability to take action without direction.	
Member coped with all assigned duties and significant idditional tasks and was able to maintain a very high level of performance whilst maintaining personal well being.	V	A member who applied a high level of resourcefulness and critical thinking. Acted promptly and independently within competence and authority.	Ø
Member coped easily with all assigned duties and nanaged additional tasks effectively without detriment to ormal duties and their personal well being.	П	A self-starter and critical thinker who acted independently within competence and authority to prevent or resolve unusual or difficult situations.	
dember coped with all assigned duties and tasks. Achievement of additional tasks had minimal Impact on putine duties and their personal well being.		A member who thinks critically and acted independently within competence and authority.	
dember coped with all assigned duties and tasks and naintained their personal well being, but was working to all capacity.		A member who displayed resourcefulness of an acceptable standard. Sought appropriate guidance.	[]
fember struggled to cope with normal workload and naintenance of their personal well being, with no ability to coept additional tasking.	<u>.</u>	A member who occasionally failed to apply an adequate level of resourcefulness and critical thinking. May have sought guidance on matters within member's scope of authority.	
fember was unable to cope with the normal set of tasks and/or maintain their personal well being, for their current osition.	["]	Had to be reminded regularly of work responsibilities. Unable to use initiative and critical thinking within the scope of competence and authority.	

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Part 2C - Assessments for all members (Continued)			
Interpersonal relations		Team work	
Forming effective and harmonious constructive working relationships, including compassion at all levels across and beyond Army, valuing diversity, and respecting all individuals.		Working effectively as part of a team allowing everyone to contribute to team tasks and makes all team membe feel valued and encourages the team to perform to the areas of strength.	rs
Interpersonal skills were outstanding building constructive working relationships, respecting all individuals and valuing diversity.	_]	Team work was of exceptionally high standard treating all team members with respect, regardless of difficult or demanding circumstances or challenging tasks.	
Readily established and fostered very effective harmonious constructive working relationships with others [sepected all individuals and valued diversity.	Z	An enthusiastic and constructive team player who treats all team members with respect and provides strong support to the team and team leader.	V
Readily established harmonious constructive working relationships with others, respected individuals and valued [diversity.		A strong team player whose contribution was highly regarded and who treated team members with respect.	
Cooperated well with others at work maintaining constructive working relationships, respected individuals and appreciated diversity.]	A team player who made a positive contribution, fostered cooperation within the team and treated team members with respect.	
Interpersonal skills were of an acceptable standard maintaining working relationships respecting individuals [and appreciating diversity.]	Team work was of an acceptable standard generally treating team members with respect.	
Interpersonal skills require further development. Has difficulty relating to others and maintaining working relationships, respecting individuals and/or does not value diversity.	J	A member whose contribution to the team objective was not always effective, rarely treated team members with respect.	
Working relationships were characterised by tensions and/or strained cooperation does not respect individuals and/or appreciate diversity.]	A member who had difficulty fitting into the team environment and/or was not readily accepted by other members of the team. Old not treat team members with respect.	
Part 2D - Assessments for CPL and above only	1		····
Written communication	I	Leadership	
Written presentation of facts, ideas, arguments, instructions or orders are clear, consistent and timely		Displays intellectual, physical or moral courage in leading others to achieve a productive, officient, skilled motivated and cohesive workforce.	d,
Written communication was of an outstanding standard and produced in a timely manner.		Demonstrated leadership of an outstanding standard in highly complex and demanding circumstances.	\ `.
Written work was of an excellent standard and produced in a timely manner.		Demonstrated leadership achieved results of an excellent standard in more complex and demanding circumstances.	V
Written communication was very effective and produced in a timely manner.	Z	Leadership achieved results of a very good standard.	
Written communications was effective. The majority of work produced in a timely manner.		Leadership achieved results of a good standard.	
Written communication was at an acceptable level for the job and generally produced in a timely manner.		Leadership was of a satisfactory standard.	<u> </u>
Written communication was adequate but requires further development to overcome shortcomings, occasionally produced in a timely manner.		Leadership was adequate, however, there were shortcomings. Further development is required.	
Written work was not effective with shortcomings in style, tone and/or quality of expression and not produced in a [IJ	Leadership did not result in a productive, efficient, skilled, motivated or cohesive workforce.	

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Part 2E - Assessment of performance in current job (4000 characters mex)	
During this reporting period, Person 1 has performed the duties of a Patribeen required to manage and administer his patrol and proved support to be patrol members are operationally ready and are fully trained, resourced and maintain and enhance the capabilities and skill sets of his patrol; understancing the capabilities and skill sets of his patrol; understancing the capabilities and sale of provide management support maintain currency in SASR Standard Operational Procedures and safety recommendations.	his Patro! Commander; ensure that d equipped for their specific roles; nd the professional and personal t to the Troop Sergeant; and
training; Troop and Squadron Mission Specific Training; Mission Rehearsa SOTG XX.	n Course; MFF continuation il Exercise; and deployment on
Person1 has displayed an excellent understanding of his roles and tasks to a very high standard during this reporting period. Early in 2013, Person course, where he was tasked with the training and development of SASR rerequired to conduct continuation training on all Close Quarter Battle, Urban Fighting, Low Signature and Personal Security Detail TTPs. He was then reto the reinforcement cycle members and raise them to the required standard performed to a high standard during this course.	attended the TPC supervisor's cinforcements. Pesson was no operations, Close Quarter quired to pass this information on

Para Jump Master HAPO, Pason I displayed a willingness to learn from the Patrol Commander when given advice on leading a freefall team. During the high altitude jump series Pason I lead the team in high altitude transits from up to 22,000 feet. Pason I has a detailed knowledge of MFF and with further experience will develop an extensive knowledge base. Pason I has the potential to lead the future development of SASR MFF if he continues to gain knowledge in this area.

During the Troop and Squadron Mission Specific training periods reson to the performed his role of 2IC to a high standard, he was proactive in his approach to adapting techniques from his recent TPC course into Troop operations. resent to was also involved in several FMP MFF jumps where he performed descents onto unlit drop zones.

Since deploying on SOTG Rotation XX, Person I has performed to an excellent standard. In his role, he was responsible for partnered training with National Directorate of Security Afghanistan (NDS), training of the patrol when the patrol commander was unavailable, administration of the patrol, post assault administration of the patrol, including PUC handling and SSE. Person I also maintained the ability to step into a patrol commander position if required. Person I assisted the patrol commander on numerous occasions in organising MFF rehearsals whilst deployed. Person I ensured the patrol maintained the highest level of marksmanship and combat shooting by conducting range practices on a regular basis. CPL Person I demonstrated an outstanding ability to operate within a team, and is considered to be one of the hardest working members of the patrol and troop. During SOTG XXPerson I conducted numerous time sensitive targeting operation as a member of E4 against priority targets to achieve successful outcomes. During these operations Person I was involved in multiple engagements with the enemy and at all times displayed excellent operator skills and leadership to his patrol.

Commander at all times to ensure the patrol operatee at the highest standard.

Overall, Personal and has performed to high standard for this reporting period.

STAFF-IN-CONFIDENCE (After first entry) Employee ID Date 19 Oct 2013 13:23:29 Part 2F - Suitability for future employment A - Not applicable Commissioning Representational duties D B - Not suitable C - Likely to become suitable Instructional duties | E Promotion recommendation D D - Suitable E - Highly suitable Comments (1450 characters max) I is a high performing soldier and PTL 2IC. He has performed numerous duties throughout this reporting period and has always performed them to a good standard. He is intelligent and thorough when it comes to planning and the decision making process. Person the holds his skills and that of his Patrol to a high standard and ensures he provides a capable MFF element to his Patrol and Troop Commanders. reison 1 is panelled on several courses for the remainder of 2013 which will provide him opportunities for further development. With further time in rank, resont is highly competitive for Patrol Command with an SASR Sabre Squadron. I would gladly accept resont to work under my command in the future. Part 2G - Assessor's personal details Employee ID Service Rank Initials Family name CAPT Army Employment category, corps or specialisation Job title Signature Date 20 Oct 13 RAINF OC E TP Part 2H - Representation intention (After reading Part 2 of the report, the assessed member is to indicate their intention to represent) Date I intend to make written representation 4202113 against the assessor's report (Yes or No). Part 21 - Review of representation against Part 2 assessment Q - Representation not supported Result of representation process R - Representation supported Comments (1450 cheracters max) Part 2J - Part 2 reviewing officer's personal details Employee ID Service Rank Initials Family name Employment category, corps or specialisation. Job title Signature Date Part 2K - Assessed member's declaration I have read Part 2 No 🗍 of this report Signature I have been debriefed 22 OCT 13 No on Part 2 of this report.

STAFF-IN-CONFIDENCE (After first entry)

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STAFF-IN-CONFIDENCE (After first entry) Employee

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Part 3A - Senior assessor's statement				Pate 9 Oct 2013 13:23:29
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resont is a fine representative for SAS Commander, following completion of pren	R who has signific equisite courses a	ant potential for pr nd further time in re	omotion and emp	oloyment as a Patrol
Promotion recommendation D Commissioning recommendation A	A - Not applice B - Not suitable C - Likely to be D - Suitable E - Highly suita	e come suitable		
Part 3B - Senior assessor's personal de	etails			
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Part 3C - Representation intention (After reading Part 3 of the report, the assesse	ed mamber is to indi	cate their intention to	o represent)	**************************************
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Part 3D - Review of representation agai	nst Part 3 assess	ment		
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Part 3E - Part 3 reviewing officer's pers	onal details		<u></u>	
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Part 3F - Assessed member's declaration	·Π		and the state of t	and a state of the spirite spirite spirite spirite and the spirite spi
I have read Part 3 Yes No No	Signatur	Person 1		ate
I have been debriefed on Part 3 of this report. Yes W No				2200713

Statement by Person 1

This statement outlines the bullying, intimidation, character assassination and death threats I have been subjected to over the past 8 years from CPL Ben Roberts-Smith.

I was posted to I troop 3 squadron in May 2005, and was initially placed in a team with RS.

During pre-deployment training for SOTG 3, RS constantly harassed me and openly told me that I was not up to his standard for an operator deploying to Afghanistan. An example of his behavior toward me was during vehicle mounted training in Lancelin. I was one of our team drivers, and whilst negotiating rough terrain, RS slapped me on the back of the head and verbally abused me on numerous occasions when he felt I could have avoided a bump in the road. At no stage did RS volunteer to drive the vehicle to show me how to drive correctly.

Once we got into Afghanistan, and prior to going on our first operation, the harassment got so bad that I was struggling with even simple tasks. On one occasion when we were driving out to the heavy weapons range for a shoot, I was slapped on the back of the head twice for driving over a large rock. When we arrived at our destination, I was physically shaking from the amount of stress he was placing me under.

The bullying, intimidation and character assassination got worse after an SR patrol in which my version of events differed from RS' version of events. Post this mission, I was never asked to review the AAR, nor was my opinion ever sought on the events of this patrol. I was kept completely in the dark. It is my opinion that my version of events would compromise his version, and therefore directly affect his awarding of the Medal for Gallantry. This is why I believe he has been so ruthless and unwavering in his aggression towards me over the years.

It was brought to my attention by Person 2 that RS was discussing with another team member in my absence the fact they will "put a bullet in the back of my head" on the next operation we were involved in.

See statement from Person 2

During Rotation 3, RS burst into the team room one day whilst I was watching a movie and accused me of still not being up to his standard for an SAS operator. He then informed me that on the next job I may get shot If my performance does not improve.

After this incident, I informed and Person 21 and asked for their advice. They recommended I speak to my troop sergeant Person 61 I took their advice, and then approached RS and questioned him on the incident.

RS then came directly to me, stood right in my face, and yelled "if you are going to make these accusations, you better have some fucking proof cunt".

- See statement from Person 2
- See statement from
- See statement from Person 21

After this incident, I was removed from this patrol, and placed in Person 21s team, where I remained for the rest of the deployment.

Upon returning to Australia, I requested to be moved to a Vehicle Mounted patrol until I could complete that insertion skill, and continue with my career away from RS' direct influence.

Since SOTG rotation 3, RS has approached most of my new team commanders, team members and colleagues on numerous occasions and attacked my character, integrity and position within the unit.

- See statement from Person 21
- See statement from Person 44
- See statement from Person 7
- See statement from

During SOTG 12 in 2010 just before we went out on an operation, I was standing outside the ready room waiting for my team when RS came out of the ready room, walked straight up to me, forcefully pushed me in the chest with an open hand to and said "get out of my way cunt or I will kill you".

- See statement from Person 51

It was brought to my attention by that RS has on numerous occasions discussed my performance and called me a coward and described his desire to have me removed from the unit. This is an example of gross character assassination of my person, and has affected my standing within the regiment still to this day.

- See statement from

It was brought to my attention by Person 26 that RS, during a manning conference in December 2012, was still attacking my character and questioning my position as a patrol 2IC within 2 SAS squadron.

See statement from Person 26

These above mentioned events are only a few of many that have occurred over the past 8 years.

I have discussed the above issues with the unit psyc officer during psyc debriefs. It is also recorded on my personal post op psychological screens.

These incidents have affected my family and personal quality of life, caused me un-due stress, and led to trouble sleeping over the past 8 years.

My self-confidence and personal standing amongst my peers has been compromised within the SASR directly because of the systematic character assassination and blatant intimidation I have been subjected to over these years.



2 SQN, SASR