PROJECT MANAGEMENT TOOLKIT ADDITIONAL DOCUMENTATION

Available at: http://www.fedcourt.gov.au/pjdp/pjdp-toolkits

Toolkits are evolving and changes may be made in future versions. For the latest version of this Additional Documentation please refer to the website - http://www.fedcourt.gov.au/pjdp/pjdp-toolkits

Note: While every effort has been made to produce informative and educative tools, the applicability of these may vary depending on country and regional circumstances.



ADDITIONAL DOCUMENTATION

| Annex 1 | Presentation Slides: Introduction to the Toolkit | A-1 |
|-------------------|--|--------------------------------|
| Annex 2 | Example Assessment Questions | A-Error! Bookmark not defined. |
| Annex 3 | Example Needs Assessment Reporting Template | A-Error! Bookmark not defined. |
| Annex 4 | Example Annotated Table of Contents for a Project Design | A-Error! Bookmark not defined. |
| Annex 5 | PJDP Responsive Fund - Annotated Application Template | A-Error! Bookmark not defined. |
| Annex 6 | PJDP Responsive Fund: Application Appraisal / Assessment Criteria | A-Error! Bookmark not defined. |
| Annex 7 | Example Workshop Checklist | A-Error! Bookmark not defined. |
| Annex 8 | OECD DAC Evaluation Criteria | A-Error! Bookmark not defined. |
| Annex 9 | Example Annotated Results Framework | A-Error! Bookmark not defined. |
| Annex 10 | Cook Islands Indicators | A-Error! Bookmark not defined. |
| Annex 11 | Potential Cost Items for Project Budgeting | A-Error! Bookmark not defined. |
| Annex 12 | Example Budget Template | A-Error! Bookmark not defined. |
| Annex 13 | Example Quotation Evaluation Sheet | A-Error! Bookmark not defined. |
| Annex 14 | Supporting Document Checklist | A-Error! Bookmark not defined. |
| Annex 15 | Example Budget Tracking Sheet | A-Error! Bookmark not defined. |
| Annex 16 | PJDP Logistics Guidelines | A-Error! Bookmark not defined. |
| Annex 17 defin | Responsive Fund: Implementation, Reporting, & Expenditure Checklis ed. | tsA-Error! Bookmark not |
| Annex 18 | Example Rating Scale for Assessing Adviser Applications | A-Error! Bookmark not defined. |
| Annex 19 | The Five Principles of Government Procurement | A-Error! Bookmark not defined. |
| Annex 20 | Simplified Sustainability Plan Template | A-Error! Bookmark not defined. |
| Annex 21 | Blank Checklist Template | A-Error! Bookmark not defined. |
| Annex 22 | Training Completion Report Template | A-Error! Bookmark not defined. |
| Annex 23 | Responsive Fund Reporting Template | A-Error! Bookmark not defined. |
| Annex 24 | Simple Risk Matrix Template | A-Error! Bookmark not defined. |

PRESENTATION SLIDES: INTRODUCTION TO PROJECT MANAGEMENT AND THE TOOLKIT (TUVALU PILOT PROJECT) Annex 1

PACIFIC JUDICIAL **DEVELOPMENT PROGRAMME**

Project Management Toolkit Pilot - Workshop 3-5 March, 2015: Funafuti, Tuvalu

Workshop Introduction & the Project Cycle Session 1



Session 1



Introduction to the Project Management Workshop

- · The PJDP background to the Programme
- · Aim, objectives, and approach
- Workshop agenda and the Toolkit
- · Our expectations
- Pre-workshop survey

Session 1



The aim of this Project Management workshop is to:

> Support Tuvalu courts to become more self-reliant in leading, developing, conducting, monitoring, and reporting on projects for which they are responsible

Session 1 Objectives

project management



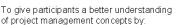


- · Develop understanding of selected tools or techniques for managing projects
- · Provide a forum to discuss, share, and

develop project management experience

Session 1





- Introducing the Project Management Toolkit
- · Providing opportunities for interaction and discussions on project management
- Undertaking practical exercises that are relevant to your work here in Tuvalu

Session 1



| Agenda | | | | |
|-------------|------------------------------------|--|-------------------------------------|--|
| Time | Day 1 | Day 2 | Day3 | |
| 8:45-9:00 | Opening | Hotsekeeping/ Annotheements | Hotsekeeping/ Annothcements | |
| 9:00-10:30 | Session 1: Introduction | Session 5: Closs- orthig issues/Appraisal | Session 9: M&E | |
| 10:30-10:45 | Morning Refreshments | | | |
| 10:45-12:15 | Session 2: Identification Stage | Session 6: Planning & Implementation | Session 10: M&E (cont) | |
| 12:15-1:15 | Lunch | | | |
| 1:15-2:45 | Session 3: Design | Session 7: Check- lists | Session 11: Discussion & wrap-up | |
| 2:55-3:00 | Afternoon Refreshments | | | |
| 3:00-4:30 | Session 4: Design (cont) | Session 8: Reporting and Finances | Additional session (if needed) | |
| 4:30-5:00 | Daily wrap-up | Daily wrap -up | 6 | |

Session 1 PM Toolkit



The Toolkit is a resource:

- · For those implementing your court's development activities
- · To manage others implementing activities
- · Of project management tools

Introduction



Why project management?

- · Implementing your court's internal activities
- · Implementing donor-funded activities
- · Managing others implementing activities
- Devolution of responsibility → the future?

Introduction



What is a 'Project'?

It is an activity with:

- · A specific and defined objective / outcome
- · Defined time period
- · Managed by a team

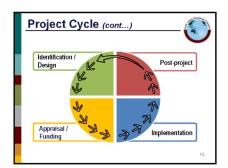
What is a successful project?



The project will be successful if it:

- Is sustainable
- · Has real results / impact / change
- Is effective (delivers what was needed and what was promised)
- · Delivers quality services
- · Is completed on time
- Is completed within / under budget

Project Cycle Key elements What are the key stages / elements of the Project Cycle? Identification and design Appraisal of design and funding Project implementation Post-project activities



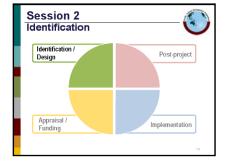
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Project Management Toolkit Pilot - Workshop 3-5 March, 2015: Funafuti, Tuvalu

Identification Stage

Session 2





Session 2 Identification



Project Cycle - Identification Stage

To gain an understanding of:

- · The process for identifying project needs
- What priority activities are planned in Tuvalu and to analyse one of these

Session 2 Identification

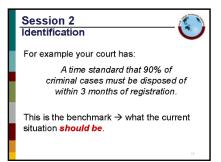


What is a 'need'?

A need is the difference between:

- · What the current situation is; and
- · What the current situation should be.

This is called the performance or competence gap



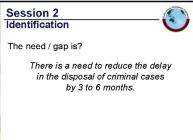
Session 2 Identification

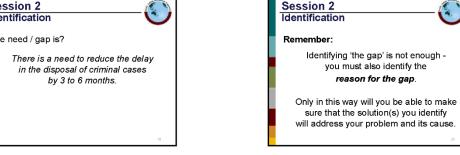


However:

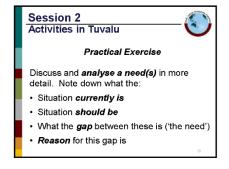
Your case management data shows that 90% of criminal cases are disposed of in 6-9 months.

This is what the current situation *is* → the 'as is' state.



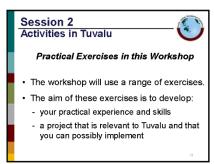


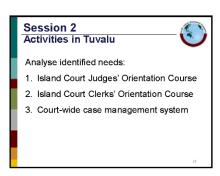


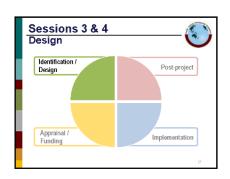




in more detail.







Sessions 3 & 4 Design



Project Cycle: Design Stage

To gain practical experience in:

- Developing activities based on identified needs
- Designing the 'Elements of a Project' using a structured project framework

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Sessions 3 & 4 Design

-6

Project design is the process of defining:

- · The Elements of a Project
- How identified needs will be addressed (what will be done)
- How you will show you have achieved what you set out to do (monitoring & evaluation)

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Sessions 3 & 4 Design - Elements of a Project



 Goal - the overall change that your project will contribute to.

Your project will only be one element in a range of activities that all work towards achieving the goal.

The goal, usually starts with: 'To ...'; or 'For ...'.

20

Sessions 3 & 4



Design - Elements of a Project

An example:

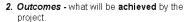
The goal of the project is:

To provide accessible, fair and efficient services; delivering measurably improved justice outcomes for beneficiaries.

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Sessions 3 & 4





The intended change(s) **resulting from its implementation** → What will be different by the end of the project?

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Sessions 3 & 4

Design - Elements of a Project



Outputs - a tangible product or 'deliverable' produced by the project.

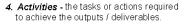
For example a new:

- Process
- Skill
- Policy
- Toolkit, etc.

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Sessions 3 & 4

Design - Elements of a Project

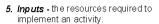


Note: it is only at this level where the aims and learning objectives of a training workshop fit.

...

Sessions 3 & 4

Design - Elements of a Project



These can include:

- Funds
- Personnel
- Equipment
- Etc.

...

Sessions 3 & 4

Design - Causality



Goal (more efficient court service delivery...)

Outcomes (timely decision making...)

Output (improved decision making skills...)

Activity (decision making training)

Activity (decision making training...)

Inputs (funds, trainers, ...)

Sessions 3 & 4 Design - Causality



So we are trying to create a logical structure where the one Element of a Project directly contributes to the elements above it:

- If we hold decision making training Then we will improve decision making skills
- If we improve decision making skills -> Then decisions will more timely...

Sessions 3 & 4 Design - Exercise



Practical Exercise:

So - we now need to use the needs identified in the last session to design a project for Tuvalu

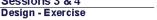
Sessions 3 & 4 Design - Exercise



Identified needs:

- 1. Island Court Judges' Orientation Course
- 2. Island Court Clerks' Orientation Course
- 3. Court-wide case management system

Sessions 3 & 4





Step 1. Group discussion

(20 min)

- · Discuss and agree a draft Project Goal
- · Discuss and agree a draft Project Name

Sessions 3 & 4





Practical Exercise:

Draft Project Goal:

Ke fakamaina te mafai ke pagatasi o avanoaga kite fono l galuegu fai kite lei mote mafai saukatoa i Tuvalu

(To provide fair and efficient justice services that improve access to justice in Tuvalu)

Sessions 3 & 4

Design - Exercise



Practical Exercise:

Draft Project Name:

Atiakega mo Fono Tulafono o Tuvalu

(Tuvalu Courts Development Project)

Sessions 3 & 4

Design - Exercise



Practical Exercise:

Step 2. Define Project Elements (30 min)

Using the needs you have analysed define your project's:

- 1 Outcome
- · 1 or 2 Outputs
- · 1 or 2 Activities

Sessions 3 & 4 Design



Exercise de-brief:

- Do all of your Elements of a Project fit together / support each other?
- · What was the most difficult part to develop?

Sessions 3 & 4 Design



Matters that influence the quality of a design.

- · Within our control -
- Court policy and priorities
- Quality of needs / information gathering
- Quality of analysis
- Relationships and communication
- Quality of documentation

Sessions 3 & 4 Design



Matters that influence the quality of a design:

- · Outside of our control -
- Government / donor priorities and policies
- Availability of funding for projects
- Transportation / geography
- Natural disasters

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Sessions 3 & 4 Design



A project design develops:

- Structure → Elements of a Project
- Approach → 'How will we do it and show that we have succeeded?'
- · Resourcing → "What will we need to do it?"
- Other considerations → Cross-cutting issues; risks; lessons; ...

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Cross-cutting Issues & the Appraisal Stage Session 5



Session 5



Cross-cutting Issues & Project Cycle: Appraisal Stage

To gain an understanding of:

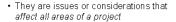
- What are cross-cutting issues and why they are important
- · What an appraisal is

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Session 5

Cross-cutting Issues





- · Within the PJDP, we focus mainly on:
- Gender Equality and Equity
- Human Rights
- Sustainability

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Session 5

Cross-cutting Issues



Gender →

- Equality: that there should be equal access to opportunities for all
- Equity: actions to enable equal access to opportunities

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Session 5 Cross-cutting Issues



Addressing gender equality / equity in our projects and activities:

Requires the recognition that the beliefs and practices that exist in our countries and courts can be barriers to equality and equity

..

Session 5 Cross-cutting Issues



Human rights → the concept that all human beings are born equal in dignity and rights.

These rights are said to be:

- Applicable in all countries (universal)
- The same for everyone (egalitarian)
- Cannot be removed / taken (inalienable)

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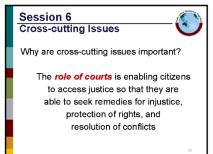
Session 5 Cross-cutting Issues

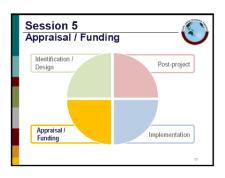


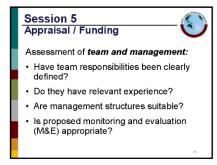
Sustainability → relates to need to promote:

The continuation of benefits flowing from a project or activity after its completion

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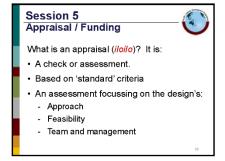


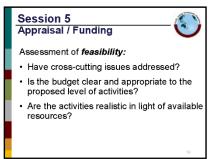


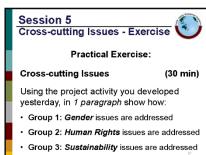


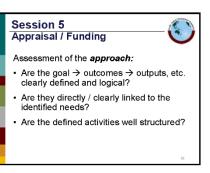
Session 5 Cross-cutting Issues Why are cross-cutting issues important? As project managers we must ensure that the changes we achieve have an ongoing benefit to our courts

and the citizens that they serve



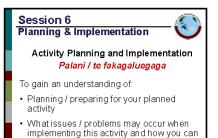




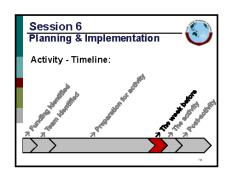




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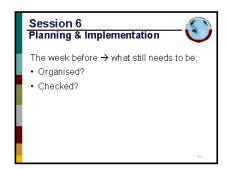




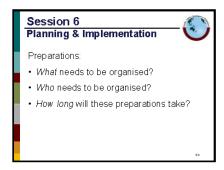


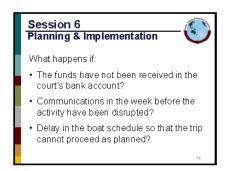










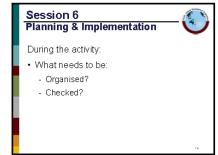


Session 6

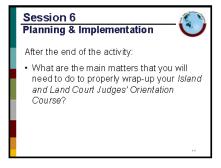
Activity - Timeline:

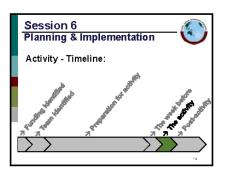
Planning & Implementation













Session 6 Planning & Implementation What happens if:

- The hotel you have made bookings at has double booked 'your' rooms?
- · Participant loses return boat ticket?
- Participant has a medical emergency during the activity?
- A possible cyclone has developed near Tuvalu and may disrupt return travel?
 a

Session 6 Planning & Implementation



What happens if:

- Departing boat / flight has been cancelled delaying the departures
- You have lost some of the receipts for workshop / activity expenditure?

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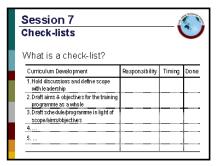
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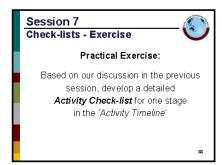
Use and Development of Check-lists

Session 7

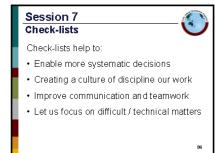


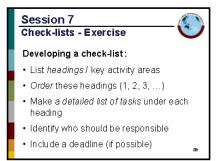


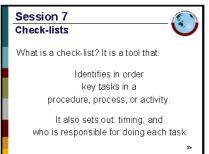
















Session 7

Check-lists - Assessment



- Can lead to reliance on a pre-defined management / communications processes
- · Can lead to linear / 'blueprint' approach
- . The list is as good as the drafter

Session 7

Check-lists - Assessment



- · Clearly defines a processes
- Helps manage complex activities
- Systematic → chronological
- · Excellent for delegation
- · Generally simple to develop

PACIFIC JUDICIAL **DEVELOPMENT PROGRAMME**

Project Management Toolkit Pilot - Workshop 3-5 March, 2015: Funafuti, Tuvalu

Effective Project Reporting and Project Finances

Session 8



Session 8

Reporting & Finances

Effective Project Reporting & Project Finances

To gain an understanding of:

- · Key concepts for effective reporting
- Considerations when developing a report
- · What financial management is
- · Considerations when developing budgets.

Session 8

Reporting & Finances

Aims of reporting / why do we report?

- Communication → share information
- Transparency → enable oversight
- Accountability → manage & monitor
- Planning → capture experience

Session 8

Project reporting

Considerations for effective reporting:

- · Always keep the report's purpose in mind
- · Consider your audience
- · Provide useful information
- · Brevity and structure
- Add value
- Allow sufficient time from draft → final

Session 8

Project reporting - exercise

Exercise - Project Completion Report:

Island / Land Court Judges' Orientation:

- · Who are you reporting to?
- · What topics / information will you include?
- · What order should these topics be in?
- · How much detail should you include?
- How long will it take to draft the report? •

Session 8

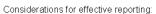
Project reporting



- Table of contents / abbreviations
- Executive Summary
- Introduction
- Body
- Conclusion
- Annexes

Session 8

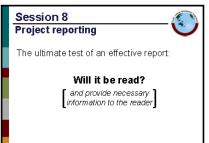
Project reporting

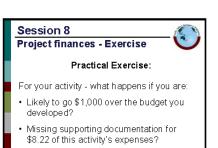


- · Always keep the report's purpose in mind
- · Consider your audience
- · Provide useful information
- · Brevity and structure
- Add value
- Allow sufficient time from draft → final

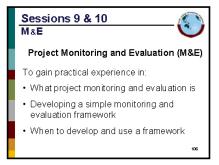






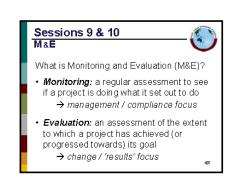


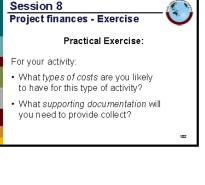
Is it different if this is for \$750?



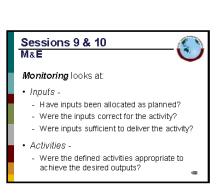
Session 8 Project finances What is 'financial management'? It is accurate, transparent, and efficient management of project or activity finances. That is: Budgets Expenditure management Acquittal and reporting

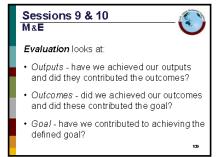


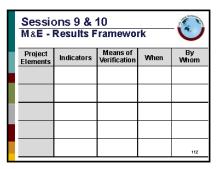




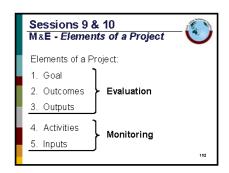


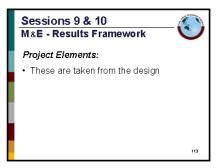


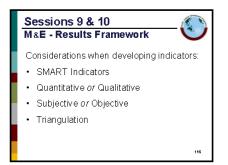




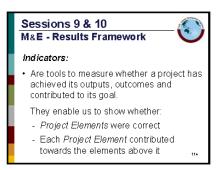














Sessions 9 & 10

M&E - Results Framework

SMART Indicators:

Specific - not too general. Focus on: what, where, how, and who

Measurable - focus on information that can be counted, observed, analysed, or tested

Attainable - be realistic. You must be able to collect the information (at reasonable cost)

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Sessions 9 & 10 M&E - Results Framework



SMART Indicators:

Relevant - need to directly measure projectrelated change to your outcomes and goal

Time-bound - when measurement will happen so you know if / when the goal, outcomes, etc. are achieved

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Sessions 9 & 10

M&E - Results Framework



PJDP Goal: Strengthened governance and rule of law ... through enhanced access to justice and professional judicial officers ...

PJDP Indicator: Perceptions of quality, professionalism, accessibility, efficiency and reliability of judicial services

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Sessions 9 & 10

M&E - Results Framework



Means of Verification:

- What data / evidence will you need to collect to support the indicator:
- Surveys / questionnaires
- Reports
- Assessments
- Court performance/case management data
- Research

Sessions 9 & 10

M&E - Results Framework



PJDP Indicator: Perceptions of quality, professionalism, accessibility, efficiency and reliability of judicial services

PJDP Means of Verification: Pacific Island Country courts and court users' surveys

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Sessions 9 & 10

M&E - Results Framework



When:

- · Definition of timeframes deadlines.
- This ensures that data is collected at the time(s) when it is required

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Sessions 9 & 10

M&E - Results Framework



PJDP Means of Verification: Pacific Island Country courts and court users' surveys

When: Mid-term and End-of-PJDP

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Sessions 9 & 10





By whom:

- Defines responsibility for collecting and reporting → this might be:
- Individual / organisation
- Project management team
- Adviser / expert
- External evaluator

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Sessions 9 & 10

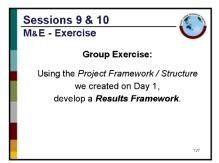
M&E - Results Framework

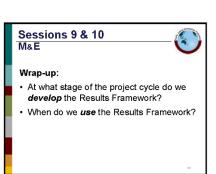


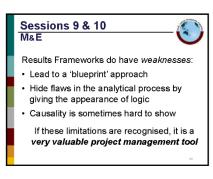
When: Mid-term and End-of-PJDP

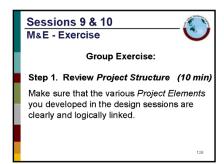
Who: Federal Court and Partner Courts

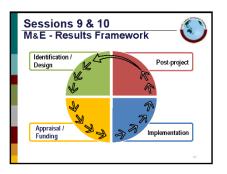
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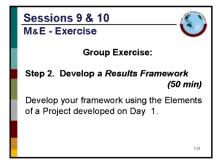


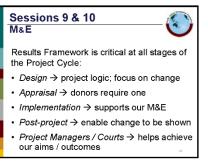
















PROJECT MANAGEMENT TOOLKIT

PJDP toolkits are available on: http://www.fedcourt.gov.au/pjdp/pjdp-toolkits

